	0		Return of Organization Exempt Fr	rom li	ncome Tax	OMB No. 1545-0047				
For	"У	<b>90</b>	Under section 501(c), 527, or 4947(a)(1) of the Internal Reven			2012				
		•••								
		of the Treasury enue Service	benefit trust or private foundation The organization may have to use a copy of this return to satisfied	Open to Public Inspection						
_			ar year, or tax year beginning JUL 1, 2012 and en	-	UN 30, 2013	•				
				<u>9</u> -	D Employer identifi					
	B Check if applicable: C Name of organization D Employer identifica									
	Addr		DATION, INC.							
	Name	e			23-7	116528				
Imitial Initial Terturn       Number and street (or P.0. box if mail is not delivered to street address)       Room/suite       E Telephone number         Imitial Initial Return       LUCAS ADMINISTRATIVE CENTER NO 221       859-572-5										
			LAND HEIGHTS, KY 41099		H(a) Is this a group re					
	pend		nd address of principal officer: KAREN ZERHUSEN KRUER	R	for affiliates?					
			AS C ABOVE		H(b) Are all affiliates inc					
1	Гах-ех		X 501(c)(3) 501(c) ( )◀ (insert no.) 4947(a)(1) or [	527	. ,	list. (see instructions)				
			://FOUNDATION.NKU.EDU		H(c) Group exemptio					
		of organization:				A State of legal domicile: KY				
	art I	-		1-	L					
-	1	Briefly describ	e the organization's mission or most significant activities: $\underline{SUPPOF}$	RT NO	RTHERN KENT	UCKY				
Governance		UNIVERS	ITY THROUGH PROVISION OF SCHOLARSHI	IPS, I	FINANCIAL A	ID AND				
rna	2		x 🕨 📖 if the organization discontinued its operations or disposed							
ove	3				3	39				
Ğ	4	Number of ind	39							
es é	5	Total number	0							
Activities &	6			43						
cti	7 a	Total unrelated	of volunteers (estimate if necessary)		7a	7,609.				
4			business taxable income from Form 990-T, line 34			-19,873.				
					Prior Year	Current Year				
Ð	8	Contributions	and grants (Part VIII, line 1h)		4,926,037.	4,731,150.				
Revenue	9		ce revenue (Part VIII, line 2g)		0.	0.				
lev V	10	Investment ind	come (Part VIII, column (A), lines 3, 4, and 7d)		1,511,843.	5,148,344.				
ш	11	Other revenue	(Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		211,740.	249,691.				
	12	Total revenue	- add lines 8 through 11 (must equal Part VIII, column (A), line 12)		6,649,620.	10,129,185.				
	13	Grants and sir	nilar amounts paid (Part IX, column (A), lines 1-3)		1,105,924.	1,895,441.				
	14	Benefits paid	to or for members (Part IX, column (A), line 4)		0.	0.				
es	15	Salaries, other	compensation, employee benefits (Part IX, column (A), lines 5-10) $\ldots$		0.	137,558.				
sue	16a	Professional fu	andraising fees (Part IX, column (A), line 5-10) ng expenses (Part IX, column (A), line 11e) ng expenses (Part IX, column (D), line 25)   20,629		0.	0.				
Expens										
ш	17		es (Part IX, column (A), lines 11a-11d, 11f-24e)		3,763,924.	2,923,338.				
	18		s. Add lines 13-17 (must equal Part IX, column (A), line 25)		4,869,848.	4,956,337.				
	19	Revenue less	expenses. Subtract line 18 from line 12		1,779,772.	5,172,848.				
Net Assets or Fund Balances					ginning of Current Year	End of Year				
Ssei Bala	20	Total assets (F			87,709,889.	96,678,679.				
et A	21		(Part X, line 26)		13,193,598.	13,416,989.				
	22		fund balances. Subtract line 21 from line 20		74,516,291.	83,261,690.				
	art II	0		nd atotan-	unto and to the bast of a	v knowledge and helief it is				
			declare that I have examined this return, including accompanying schedules an Declaration of preparer (other than officer) is based on all information of which			y knowledge and beller, it is				
uue	, corre	ior, and complete.		ii piepaiel I	nas any knowleuge.					
					1					

Sign Here	Signature of officer KAREN ZERHUSEN KRUER, Type or print name and title	EXECUTIVE DIRECTOR		Date							
	Print/Type preparer's name	Preparer's signature	Date								
Paid	LEIGH MCKEE			<sup>if</sup> self-employed <b>P00169845</b>							
Preparer	Firm's name 🕞 DEAN DORTON ALLE			Firm's EIN 27-3858252							
Use Only	Firm's address 🕨 106 W. VINE STRE	ET, SUITE 600									
LEXINGTON, KY 40507 Phone no. (859)255-											
May the IRS discuss this return with the preparer shown above? (see instructions)											
232001 12-1	232001 12-10-12 LHA For Paperwork Reduction Act Notice, see the separate instructions. Form <b>990</b> (2012)										

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

	NORTHERN KENTUCKY UNIVERSITY		_
	990 (2012) FOUNDATION, INC. 23-711	6528	Page <b>2</b>
Pai	rt III Statement of Program Service Accomplishments		X
1	Check if Schedule O contains a response to any question in this Part III	<u></u>	🕰
•	THE NORTHERN KENTUCKY UNIVERSITY FOUNDATION IS AN INDEPENDENT,		
	NON-PROFIT, TAX-EXEMPT PUBLIC CHARITY INCORPORATED IN KENTUCKY	UNDE	R
	KENTUCKY REVISED STATUTE 273 AND SECTION 501(C)(3) OF THE INTE	RNAL	
	REVENUE CODE THAT EXISTS SOLELY TO RECEIVE AND MANAGE PRIVATE	GIFTS	
2	Did the organization undertake any significant program services during the year which were not listed on		
	the prior Form 990 or 990-EZ?	Yes	XNo
	If "Yes," describe these new services on Schedule O.		
3	Did the organization cease conducting, or make significant changes in how it conducts, any program services?	└──Yes	XNo
	If "Yes," describe these changes on Schedule O.		
4	Describe the organization's program service accomplishments for each of its three largest program services, as measured by	-	
	Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total e revenue, if any, for each program service reported.	-	and
4a	(Code:) (Expenses \$1,779,947.         including grants of \$37,546.         (Revenue \$)		0.)
Ĩ	STUDENT FINANCIAL AID		
4b	(Code:) (Expenses \$ 623,144. including grants of \$ 705,808. ) (Revenue \$	7.	325.)
	PUBLIC SERVICE		<u> </u>
4c	(Code: ) (Expenses \$ 545,499. including grants of \$ 309,872.) (Revenue \$		<b>600.</b> )
40			<u>, , , , , , , , , , , , , , , , , , , </u>
4d	Other program services (Describe in Schedule O.)		
Ψu	(Expenses \$ 1,664,559 • including grants of \$ 842,215 •) (Revenue \$ 162,941	•)	
4e	Total program service expenses ► 4,613,149.		
		Form 9	<b>90</b> (2012)

Form 990 (2012) FOUNDATION,
Part IV Checklist of Required Schedules

NORTHERN KENTUCKY UNIVERSITY FOUNDATION, INC.

			Vee	
4	Is the examination department in section $501(a)(2)$ or $4047(a)(1)$ (other than a private foundation)?		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1	х	
0	Is the organization required to complete Schedule B, Schedule of Contributors?	2	X	
2 3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for	2		
3		3		x
4	public office? <i>If "Yes," complete Schedule C, Part I</i> <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect	3		- 23
4		4		x
5	during the tax year? If "Yes," complete Schedule C, Part II Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or	4		- 23
5	similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5		x
6		5		- 23
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to	~		x
7	provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6		
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,	7		x
•	the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	1		
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>	8		x
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for			
	amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services?			
	If "Yes," complete Schedule D, Part IV	9		X
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent			
	endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	10	X	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.			
2	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D,			
a	Part VI	11a	х	
b	Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total			
	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b	Х	
С	Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total			
	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c		X
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in			
	art X, line 16? If "Yes," complete Schedule D, Part IX			X
е	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X		Х	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses			
	the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	Х	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete			
	Schedule D, Parts XI and XII	12a		X
b	Was the organization included in consolidated, independent audited financial statements for the tax year?			
	If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b	Х	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		x
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business,			
	investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000		v	
	or more? If "Yes," complete Schedule F, Parts I and IV	14b	X	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization			v
	or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV	15		X
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals			v
	located outside the United States? If "Yes," complete Schedule F, Parts III and IV	16		x
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX,			v
	column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I	17		x
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines		v	
	1c and 8a? If "Yes," complete Schedule G, Part II	18	X	├──
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If</i> "Yes," <i>complete Schedule G, Part III</i>	19		x
20a	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a		X
	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

Form 990 (2012)

ι	Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the		Yes	No
ι				
<b>22</b> [	United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	X	
	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX,			37
	column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		X
	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current			
	and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete	~~		v
	Schedule J	23		X
	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the			
	last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete	~ ~		x
	Schedule K. If "No", go to line 25	24a		
	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease	04-		
	any tax-exempt bonds?	24c		
	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a	05.0		x
	disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		
	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and			
	that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b		x
	Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified	250		- 23
	person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II	26		x
•	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial	20		
	contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member			
	of any of these persons? If "Yes," complete Schedule L, Part III	27		x
	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV			
	instructions for applicable filing thresholds, conditions, and exceptions):			
	A current or former officer, director, trustee, or key employee? <i>If</i> "Yes," <i>complete Schedule L, Part IV</i>	28a		х
	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28b		Х
	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer,			
	director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV	28c		х
	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	Х	
<b>30</b> [	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation			
	contributions? If "Yes," complete Schedule M	30		Х
31 [	Did the organization liquidate, terminate, or dissolve and cease operations?			
I	If "Yes," complete Schedule N, Part I	31		Х
<b>32</b> [	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete			
9	Schedule N, Part II	32		Х
	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations			
	sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33	X	
34 \	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and			
	Part V, line 1	34		X
	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		X
	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity			
	within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		
	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?			
	If "Yes," complete Schedule R, Part V, line 2	36		X
	Did the organization conduct more than 5% of its activities through an entity that is not a related organization			v
	and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		X
	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?		v	
	Note. All Form 990 filers are required to complete Schedule O	38	X QQQ	

Form **990** (2012)

Form 990 (2012) FOUNDATION, INC. Part IV Checklist of Required Schedules (continued)

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Form	990 (2012) FOUNDATION, INC. 23-7116	528	Р	age <b>5</b>
Pa	rt V Statements Regarding Other IRS Filings and Tax Compliance			
	Check if Schedule O contains a response to any question in this Part V			
		_	Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable 1a 75	ò		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable 1b	)		
с	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming			
	(gambling) winnings to prize winners?	1c	Х	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements,			
	filed for the calendar year ending with or within the year covered by this return 2a 2a	)		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b		
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	Х	
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	3b	X	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a			
	financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	X	
b	If "Yes," enter the name of the foreign country:  CAYMAN ISLANDS			
	See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		X
с	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c		
	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit			
	any contributions that were not tax deductible as charitable contributions?	6a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts			
	were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).			
а	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
С	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required			
	to file Form 8282?	7c		X
d	If "Yes," indicate the number of Forms 8282 filed during the year 7d			
е	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting			
	organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8		
9	Sponsoring organizations maintaining donor advised funds.			
а	Did the organization make any taxable distributions under section 4966?	9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b		
10	Section 501(c)(7) organizations. Enter:			
а	Initiation fees and capital contributions included on Part VIII, line 12	4		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b	4		
11	Section 501(c)(12) organizations. Enter:			
а	Gross income from members or shareholders	-		
b	Gross income from other sources (Do not net amounts due or paid to other sources against			
	amounts due or received from them.)			
	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	-		
13	Section 501(c)(29) qualified nonprofit health insurance issuers.			
а	Is the organization licensed to issue qualified health plans in more than one state?	13a		
-	<b>Note.</b> See the instructions for additional information the organization must report on Schedule O.			
b	Enter the amount of reserves the organization is required to maintain by the states in which the			
	organization is licensed to issue qualified health plans	-		
	Enter the amount of reserves on hand			v
	Did the organization receive any payments for indoor tanning services during the tax year?	14a		X
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b	1	1

Form **990** (2012)

NORTHERN KENTUCKY UNIVERSITY FOUNDATION, INC.

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V	Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 2 through 7b	ponse
	to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions	

Check if Schedule O contains a response to any question in this Part VI ...

X

Sec	tion A. Governing Body and Management						
					Yes	No	
1a	Enter the number of voting members of the governing body at the end of the tax year	1a	39				
	If there are material differences in voting rights among members of the governing body, or if the governing						
	body delegated broad authority to an executive committee or similar committee, explain in Schedule 0.						
b	Enter the number of voting members included in line 1a, above, who are independent	1b	39				
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationshi	p with any othe	r				
	officer, director, trustee, or key employee?			2		Х	
3	Did the organization delegate control over management duties customarily performed by or under th	e direct supervi	sion				
	of officers, directors, or trustees, or key employees to a management company or other person?			3		Х	
4	Did the organization make any significant changes to its governing documents since the prior Form S	990 was filed?		4		Х	
5	Did the organization become aware during the year of a significant diversion of the organization's as	sets?		5		Х	
6	Did the organization have members or stockholders?			6		Х	
7a	Did the organization have members, stockholders, or other persons who had the power to elect or a	opoint one or					
	more members of the governing body?			7a		Х	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, s	tockholders, or					
	persons other than the governing body?			7b		Х	
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year	ar by the following	):				
а							
b							
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be rea	ched at the					
	organization's mailing address? If "Yes," provide the names and addresses in Schedule O			9		Х	
Sec	tion B. Policies (This Section B requests information about policies not required by the Internal Re	evenue Code.)					
					Yes	No	
	Did the organization have local chapters, branches, or affiliates?			10a		X	
b	If "Yes," did the organization have written policies and procedures governing the activities of such cl						
	and branches to ensure their operations are consistent with the organization's exempt purposes? $\dots$			10b	37		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing bod	y before filing th	ne form?	11a	Х		
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.				37		
12a				12a	X		
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise			12b	X		
С	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Y				v		
	in Schedule O how this was done			12c	X		
13	Did the organization have a written whistleblower policy?			13	X		
14	Did the organization have a written document retention and destruction policy?			14	X		
15	Did the process for determining compensation of the following persons include a review and approva	al by independe	nt				
	persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			45	х		
	The organization's CEO, Executive Director, or top management official			15a	X		
b	Other officers or key employees of the organization			15b	Λ		
16 -	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).	nont with -					
ioa	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arranger			10-		Х	
L.	taxable entity during the year?			16a		-	
D	If "Yes," did the organization follow a written policy or procedure requiring the organization to evalua		on				
	in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organized exempt status with respect to such arrangements?			16h			
Sec	tion C. Disclosure			16b			
17	List the states with which a copy of this Form 990 is required to be filed $\blacktriangleright$ KY						
18	Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-1	(Section 501/c	(3)son(y) =	wailah	le		
	for public inspection. Indicate how you made these available. Check all that apply.		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	., and D			
		in Schedule O)					
19	Describe in Schedule O whether (and if so, how), the organization made its governing documents, co	,	t nolicy and	d finar	ncial		
	statements available to the public during the tax year.		c poncy, an		.5141		
20	State the name, physical address, and telephone number of the person who possesses the books a	nd records of th	e organizat	tion: 🕨	•		
_•	JOHN BAILEY - 859-572-6457		941120				
	FH 132 NUNN DRIVE, HIGHLAND HEIGHTS, KY 41099						

# Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

FOUNDATION, INC.

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

• List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation.

Enter -0- in columns (D), (E), and (F) if no compensation was paid.

• List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."

• List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

• List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

• List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

L Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A)	(B)			(0	C)			(D)	(E)	(F)
Name and Title	Average	(do		Pos	itior	ו than	one	Reportable	Reportable	Estimated
	hours per	box	, unle	ss pe	rson	is bot	h an	compensation	compensation	amount of
	week	-	cer ar	nd a d	lirecto	or/trus	stee)	from	from related	other
	(list any	ector						the	organizations	compensation
	hours for	or dir	Ð			ated		organization	(W-2/1099-MISC)	from the
	related	ustee	truste		e	bens		(W-2/1099-MISC)		organization
	organizations below	ual tri	ional		ploye	t com				and related organizations
	line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			organizations
(1) BARBARA M. JOHNSON	0.50			0	×	υ	<u> </u>			
BOARD MEMBER		x						0.	0.	0.
(2) BARRY G. KIENZLE	2.00									
SECRETARY		X						0.	0.	0.
(3) BRENDA L. WILSON	0.50									
BOARD MEMBER		<b>x</b>						0.	0.	0.
(4) BRYSON P. LAIR	0.50									
BOARD MEMBER		] X [						0.	0.	0.
(5) CHAD A. BILZ	0.50									
BOARD MEMBER		X						0.	0.	0.
(6) CHARLES ALEXANDER	0.50									
BOARD MEMBER		X						0.	0.	0.
(7) CHRIS CARLE	0.50									
BOARD MEMBER		X						0.	0.	0.
(8) CHRISTOPHER STURM	0.50								_	_
BOARD MEMBER		X						0.	0.	0.
(9) DANIEL R. GRONECK	2.00									-
TREASURER		X						0.	0.	0.
(10) DENNIS R. HONABACH	0.50									-
BOARD MEMBER		X						0.	0.	0.
(11) DENNIS REPENNING	0.50									_
BOARD MEMBER		X						0.	0.	0.
(12) DIANE STICKLEN-JORDAN	0.50									
BOARD MEMBER		X						0.	0.	0.
(13) EVA G. FARRIS	0.50									
BOARD MEMBER		X						0.	0.	0.
(14) FRED A. MACKE, JR.	0.50									<u> </u>
BOARD MEMBER		X						0.	0.	0.
(15) GEOFFREY S. MEARNS	0.50									0
BOARD MEMBER		X				<u> </u>		0.	0.	0.
(16) GERARD A. ST. AMAND	2.00									0
BOARD MEMBER		X				┢		0.	0.	0.
(17) GREGORY S. SHUMATE	0.50	x						0.	0.	0
BOARD MEMBER								Ι Ο.	J 0.	0.

232007 12-10-12

Form **990** (2012)

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Form 990 (2012) FOUNDATIO	-								23-711	<u>5578</u>	Page <b>8</b>
Part VII Section A. Officers, Directors, Trus	tees, Key Em	ploy	vees	, an	d Hi	ighe	st C	Compensated Employe	es (continued)		
<b>(A)</b> Name and title	<b>(B)</b> Average hours per week	box offi	not c , unle	Pos heck	more rson	than is bot pr/trus	h an	(D) Reportable compensation from	<b>(E)</b> Reportable compensation from related	an	(F) stimated nount of other
	(list any hours for related organizations below line)	Individual trustee or director	In stitutional trustee	Officer	Key employee	Highest compensated employee	Former	the organization (W-2/1099-MISC)	organizations (W-2/1099-MISC)	fr org and	pensation rom the anization d related anizations
(18) J. DAVID BENDER	10.00										
PRESIDENT		X						0.	0	•	0.
(19) JAKKI HAUSSLER	0.50										
BOARD MEMBER	0 50	X						0.	0	•	0.
(20) JAMES R. POSTON, JR.	0.50								0		0
BOARD MEMBER		X						0.	0	•	0.
(21) JASON O. JACKMAN	0.50							0	0		0
BOARD MEMBER		X						0.	0	•	0.
(22) JOHN M. LUCAS	0.50							0.	0		0
BOARD MEMBER	0.50	X						0.	0	•	0.
(23) JOHN WINKLER BOARD MEMBER	0.50	x						0.	0		0.
(24) JUDITH H. GIBBONS	2.00	<u> </u>						0.	0	•	0.
PAST PRESIDENT	2.00	x						0.	0		0.
(25) KARA WILLIAMS	0.50						<u> </u>		0	•	
BOARD MEMBER	0.50	x						0.	0		0.
(26) KAREN D. MEYERS	0.50	111							•		
BOARD MEMBER	0.00	x						0.	0		0.
								0.	0		0.
c Total from continuation sheets to Part V						5		95,981.	0		3,527.
d Total (add lines 1b and 1c)						5		95,981.	0		3,527.
2 Total number of individuals (including but n						e) wł	no r	-	,000 of reportable	_	
compensation from the organization						,			, I		0
											Yes No
3 Did the organization list any <b>former</b> officer, line 1a? If "Yes," complete Schedule J for s								highest compensated e		3	X
4 For any individual listed on line 1a, is the su	um of reportab										
and related organizations greater than \$15										4	X
5 Did any person listed on line 1a receive or a	accrue compe	nsat	ion 1	from	any	/ unr	elat	ted organization or indiv	dual for services		
rendered to the organization? If "Yes," com	plete Schedul	e J f	or s	uch	pers	son .				5	X
Section B. Independent Contractors											
1 Complete this table for your five highest co										isation f	rom
the organization. Report compensation for	the calendar y	ear	endi	ng v	vith	or w	ithi		year.		
(A) Name and business	address	N	ONI	Ξ				(B) Description of s	ervices	(C Comper	
							_				

2 Total number of independent contractors (including but not limited to those listed above) who received more than

Form **990** (2012)

FOUNDATION, INC.

Form 990

Part VII Section A. Officers, Directors, Tr	istees Kev Fr	nnla	NVPP	s a	nd F	liah	est	Compensated Employ	ees (continued)	
(A)	(B)		Jyee	<u>s, a</u> (C		ngn	csi	(D)	(E)	(F)
Name and title	Average			Posi				Reportable	Reportable	Estimated
	hours	(cl	heck	all t	hat	app	ly)	compensation	compensation	amount of
	per							from	from related	other
	week	5				loyee		the	organizations	compensation
	(list any hours for	direct				d emp		organization (W-2/1099-MISC)	(W-2/1099-MISC)	from the organization
	related	ee or	stee			nsate		(112) 1000 11100)		and related
	organizations	trust	al tru		oyee	ompe				organizations
	below	Individual trustee or director	Institutional trustee	cer	Key employee	Highest compensated employee	Former			
		lndi	Inst	Officer	Key	Hig	Fori			
(27) KEVIN M. SHEEHAN	1.00									
BOARD MEMBER		X						0.	0.	0.
(28) KRISTI NELSON	0.50							0	0	0
BOARD MEMBER		X						0.	0.	0.
(29) LEE ROSE	0.50	x						0.	0.	0.
BOARD MEMBER (30) MARK D. GUILFOYLE	0.50	^						0.	0.	0.
BOARD MEMBER	0.50	x						0.	0.	0.
(31) MARTIN GERRETY	0.50								0.	
BOARD MEMBER		x						0.	0.	0.
(32) OLIVIA BIRKENHAUER	0.50									
BOARD MEMBER		x						0.	0.	0.
(33) PAUL W. CHELLGREN	0.50									
BOARD MEMBER		x						0.	Ο.	0.
(34) RHONDA WHITAKER	0.50									
BOARD MEMBER		Х						0.	0.	0.
(35) RICHARD A. BOEHNE	0.50									
BOARD MEMBER		Х						0.	0.	0.
(36) SUSAN K. COOK	0.50									
BOARD MEMBER		X						0.	0.	0.
(37) TERRY L. MANN	0.50	37							0	0
BOARD MEMBER	0.50	X						0.	0.	0.
(38) THOMAS R. SAELINGER BOARD MEMBER	0.50	x						0.	0.	0.
(39) WILLIAM C. VERMILLION	2.00							0.	0.	0.
VICE PRESIDENT	2.00	x						0.	0.	0.
(40) KAREN A. ZERHUSEN KRUER, J.D.	40.00								0.	
EXECUTIVE DIRECTOR				х				95,981.	0.	33,527.
	1									
								95,981.		33,527.

Form 990 (20	12)	FOUNDAT
Part VIII	Statement	of Revenue

NORTHERN KENTUCKY UNIVERSITY FOUNDATION, INC.

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		Check if Schedule O conta	ains a response	to any question i	n this Part VIII			
					<b>(A)</b> Total revenue	<b>(B)</b> Related or exempt function revenue	<b>(C)</b> Unrelated business revenue	<b>(D)</b> Revenue excluded from tax under sections 512, 513, or 514
nts nts	1 a	Federated campaigns	1a					
àraı our		Membership dues						
s, G		Fundraising events		440,314.				
Gift lar		Related organizations						
inil inil		Government grants (contributi						
tior r S	f	All other contributions, gifts, grant	is, and					
the		similar amounts not included abov	/e <b>1</b> f	4,290,836.				
d OT	g	Noncash contributions included in lines	1a-1f: \$	211,688.				
Contributions, Gifts, Grants and Other Similar Amounts	h	Total. Add lines 1a-1f			4,731,150.			
				Business Code				
e	2 a							
ervi	b							
n Se	с							
ran ev	d							
Program Service Revenue	е							
Ā	f	All other program service reve	nue					
	g	Total. Add lines 2a-2f		►				
	3	Investment income (including						
		other similar amounts)		F	1,703,100.			1,703,100.
	4	Income from investment of tax		· · ·				
	5	Royalties			80,173.			80,173.
			(i) Real	(ii) Personal				
		Gross rents	118,755.					
		Less: rental expenses	7,011.					
		Rental income or (loss)	111,744.		111 744			111 744
		Net rental income or (loss)			111,744.			111,744.
	7 a	Gross amount from sales of	(i) Securities	(ii) Other				
		assets other than inventory	3,445,244.					
	D	Less: cost or other basis	0.					
		and sales expenses Gain or (loss)	-					
					3,445,244.			3,445,244.
		Net gain or (loss) Gross income from fundraising			0,110,211,			5,115,211
nue	0 4	including \$440						
evel		contributions reported on line						
ŗ		Part IV, line 18		72,130.				
Other Rever	b	Less: direct expenses		190,658.				
0		Net income or (loss) from fund			-118,528.			-118,528.
		Gross income from gaming ac	-					
		Part IV, line 19						
	b	Less: direct expenses						
	с	Net income or (loss) from gam	ing activities	►				
	10 a	Gross sales of inventory, less	returns					
		and allowances	а					
	b	Less: cost of goods sold						
	с	Net income or (loss) from sales	s of inventory	<b>&gt;</b>				
		Miscellaneous Revenue	е	Business Code				
		MISCELLANEOUS REVENUE		900099	85,049.	85,049.		
	b	ADMINISTRATIVE FEE		900099	51,152.	51,152.		
	С	EVENT TICKET SALES		900099	32,492.	32,492.		
		All other revenue		525990	7,609.		7,609.	
		Total. Add lines 11a-11d			176,302.	1.00.000		5 001 535
02000	12	Total revenue. See instructions.		🕨	10,129,185.	168,693.	7,609.	5,221,733.

232009 12-10-12

#### Form 990 (2012)

#### NORTHERN KENTUCKY UNIVERSITY FOUNDATION, INC.

	rt IX   Statement of Functional Expense			23-71	10520 Page IU
Sect	ion 501(c)(3) and 501(c)(4) organizations must com	olete all columns. All oth	er organizations must co	omplete column (A).	
	Check if Schedule O contains a respon	se to any question in thi			
	not include amounts reported on lines 6b, 8b, 9b, and 10b of Part VIII.	<b>(A)</b> Total expenses	<b>(B)</b> Program service expenses	<b>(C)</b> Management and general expenses	<b>(D)</b> Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	1,895,441.	1,895,441.		
2	Grants and other assistance to individuals in the United States. See Part IV, line 22				
3	Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 5	Benefits paid to or for members Compensation of current officers, directors,				
6	trustees, and key employees Compensation not included above, to disqualified	137,558.		137,558.	
	persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 8	Other salaries and wages Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
9	Other employee benefits				
10	Payroll taxes				
11	Fees for services (non-employees):				
	Management Legal	6,836.	5,451.	1,385.	
	Accounting	12,875.	0,1011	12,875.	
	Lobbying	,			
e	Professional fundraising services. See Part IV, line 17				
f	Investment management fees	143,377.		143,377.	
g					
	column (A) amount, list line 11g expenses on Sch 0.)	359,207.	373,214.	-15,135.	<u>1,128.</u> 209.
12	Advertising and promotion	8,552.	7,993.	350.	
13	Office expenses	72,195.	55,042.	11,211.	5,942.
14	Information technology	7,879.	7,879.		
15	Royalties				
16	Occupancy		100 406	0.056	200
17		207,760.	198,496.	9,056.	208.
18	Payments of travel or entertainment expenses				
19	for any federal, state, or local public officials Conferences, conventions, and meetings				
20		73,071.	73,071.		
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	12,884.	12,346.	538.	
23	Insurance	-12,114.		-12,125.	11.
24	Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule 0.)				
а	SCHOLARSHIPS FOR NKU	1,195,654.	1,195,654.		
b	MEALS AND ENTERTAINMENT	309,504.	290,748.	8,787.	9,969.
с	SUPPLIES	122,571.	120,008.	5,108.	-2,545.
d	DUES AND SUBSCRIPTIONS	84,325.	77,543.	6,782.	
е	All other expenses	318,762.	300,263.	12,792.	5,707.
25	Total functional expenses. Add lines 1 through 24e	4,956,337.	4,613,149.	322,559.	20,629.
26	Joint costs. Complete this line only if the organization				
	reported in column (B) joint costs from a combined				
	educational campaign and fundraising solicitation.				
	Check here if following SOP 98-2 (ASC 958-720)				Earra 000 (0010)

NORTHERN	KENTUCKY	UNIVERSITY

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		AT OCIUT	ORTADIOLIT	
2012)	FOUNDATION,	INC.		23
Balance Sheet				
Check if Schedule	O contains a response t	o any questi	on in this Part X	 

		Check if Schedule O contains a response to any question in this Part X			
			<b>(A)</b> Beginning of year		<b>(B)</b> End of year
	1	Cash - non-interest-bearing	7,298,139.	1	5,645,962.
	2	Savings and temporary cash investments	1,829,719.	2	1,833,809.
	3	Pledges and grants receivable, net	4,603,490.	3	4,783,603.
	4	Accounts receivable, net		4	
	5	Loans and other receivables from current and former officers, directors,			
		trustees, key employees, and highest compensated employees. Complete			
		Part II of Schedule L		5	
	6	Loans and other receivables from other disqualified persons (as defined under			
		section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing			
		employers and sponsoring organizations of section 501(c)(9) voluntary			
S		employees' beneficiary organizations (see instr). Complete Part II of Sch L		6	
Assets	7	Notes and loans receivable, net		7	
As	8	Inventories for sale or use	26 001	8	10.1.0
	9	Prepaid expenses and deferred charges	36,021.	9	42,162.
	10a	Land, buildings, and equipment: cost or other			
		basis. Complete Part VI of Schedule D 10a 548,151.	245 074		240 102
		Less: accumulated depreciation 10b 208,028.	345,074.	10c	340,123.
	11	Investments - publicly traded securities	64,402,384. 8,475,947.	11	69,252,719.
	12	Investments - other securities. See Part IV, line 11	8,4/3,94/.	12	14,034,576.
	13	Investments - program-related. See Part IV, line 11		13	
	14	Intangible assets	719,115.	14	745,725.
	15	Other assets. See Part IV, line 11	87,709,889.	15 16	96,678,679.
	16 17	Total assets. Add lines 1 through 15 (must equal line 34) Accounts payable and accrued expenses	353,205.	17	313,952.
	18	Grants payable	55572050	18	51575521
	19	Deferred revenue	26,141.	19	26,141.
	20	Tax-exempt bond liabilities		20	,
s	21	Escrow or custodial account liability. Complete Part IV of Schedule D		21	
Liabilities	22	Loans and other payables to current and former officers, directors, trustees,			
lide		key employees, highest compensated employees, and disqualified persons.			
Ë		Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties	2,363,082.	23	1,609,220.
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities (including federal income tax, payables to related third			
		parties, and other liabilities not included on lines 17-24). Complete Part X of			
		Schedule D	10,451,170.	25	11,467,676.
	26	Total liabilities. Add lines 17 through 25	13,193,598.	26	13,416,989.
		Organizations that follow SFAS 117 (ASC 958), check here ► ⊥X and			
es		complete lines 27 through 29, and lines 33 and 34.	0 6 6 0 6 7 0		
anc	27	Unrestricted net assets	2,662,673.	27	2,975,722.
Bal	28	Temporarily restricted net assets	31,374,444.	28	39,460,420.
pu	29	Permanently restricted net assets	40,479,174.	29	40,825,548.
, Ľ		Organizations that do not follow SFAS 117 (ASC 958), check here ►			
s 01		and complete lines 30 through 34.			
set	30	Capital stock or trust principal, or current funds		30	
Net Assets or Fund Balances	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
Net	32	Retained earnings, endowment, accumulated income, or other funds	74,516,291.	32 33	83,261,690.
	33	Total net assets or fund balances	87,709,889.		96,678,679.
	34	Total liabilities and net assets/fund balances	01,103,003.	34	30,010,019.

Form **990** (2012)

Form 990 (20
Part X E

NORTHERN	KENTUCKY	UNIVERSITY

Form	990 (2012) FOUNDATION, INC.	23-71	16528	Page <b>12</b>					
Pa	rt XI Reconciliation of Net Assets								
	Check if Schedule O contains a response to any question in this Part XI			X					
1	Total revenue (must equal Part VIII, column (A), line 12)		10,129						
2	Total expenses (must equal Part IX, column (A), line 25)	2		,337. ,848.					
3									
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))		74,516						
5	Net unrealized gains (losses) on investments	5	3,561	,129.					
6	Donated services and use of facilities	6							
7	Investment expenses	7							
8	Prior period adjustments	8							
9	Other changes in net assets or fund balances (explain in Schedule O)	9	11	,422.					
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33,								
_	column (B))	10	83,261	.,690.					
Part XII Financial Statements and Reporting									
	Check if Schedule O contains a response to any question in this Part XII			<u> [x]</u>					
				Yes No					
1	Accounting method used to prepare the Form 990: Cash X Accrual Other								
	If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.								
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		2a	X					
	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed	d on a							
	separate basis, consolidated basis, or both:								
	Separate basis Consolidated basis Both consolidated and separate basis								
b	Were the organization's financial statements audited by an independent accountant?		<b>2</b> b	<u>x</u>					
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separat	e basis,							
	consolidated basis, or both:								
	Separate basis								
С	c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit,								
	review, or compilation of its financial statements and selection of an independent accountant?		2c	<u>x</u>					
	If the organization changed either its oversight process or selection process during the tax year, explain in Sch								
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Si	-							
	Act and OMB Circular A-133?		<b>3</b> a	<u> </u>					
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the requ								
	or audits, explain why in Schedule O and describe any steps taken to undergo such audits	<u></u>							

Form **990** (2012)

FOUNDATION.

SCHEDULE A (Form 990 or 990-EZ) Public Charity Status and Public Support								OMB No.	1545-00	47		
Department o Internal Rever	of the Treasury nue Service		ete if the organization is 4947(a)(1) no ttach to Form 990 or Fo	onexempt	charitabl	e trust.				Open to Inspe	Publection	ic
Name of t	the organizati		N KENTUCKY U						mployer	identificati	on nu	mber
		FOUNDAI	ION, INC.						2	3-7116	528	
Part I	Reason	for Public Char	r <b>ity Status</b> (All organiz	ations mu	st complet	te this parl	.) See inst	ructions.				
The organ	ization is not a	private foundation	because it is: (For lines 1	1 through	11, check	only one b	ox.)					
1	A church, co	nvention of churche	s, or association of chur	ches desc	ribed in <b>se</b>	ection 170	(b)(1)(A)(i)					
2			70(b)(1)(A)(ii). (Attach Sc									
3			ital service organization of		in section	170(b)(1)	A)(iii).					
4	A medical res	earch organization	operated in conjunction	with a hos	pital desc	ribed in <b>se</b>	ction 170	(b)(1)(A)(ii	i <b>).</b> Enter t	the hospital	's nam	ıe,
	city, and stat	e:										
5 X	An organizat	on operated for the	benefit of a college or ur	niversity o	wned or op	perated by	a governr	mental uni	it describ	ed in		
	section 170	(b)(1)(A)(iv). (Compl	ete Part II.)									
6	A federal, sta	te, or local governm	nent or governmental uni	t describe	d in <b>sectio</b>	n 170(b)(1	l)(A)(v).					
7	An organizat	on that normally red	ceives a substantial part	of its supp	ort from a	governme	ental unit o	or from the	general	public desc	ribed i	in
	section 170(	b)(1)(A)(vi). (Comple	ete Part II.)									
8	A community	trust described in s	section 170(b)(1)(A)(vi).	(Complete	Part II.)							
9 🗌	An organizat	on that normally red	ceives: (1) more than 33 1	1/3% of its	support f	rom contri	butions, m	nembershi	p fees, a	nd gross re	ceipts	from
	activities rela	ted to its exempt fu	nctions - subject to certa	ain excepti	ons, and (	2) no more	than 33 1	/3% of its	support	from gross	invest	ment
	income and u	Inrelated business t	axable income (less sect	tion 511 ta	x) from bu	isinesses a	acquired b	y the orga	nization	after June 3	80, 197	75.
	See section	509(a)(2). (Complet	e Part III.)									
10 🔛	An organizat	on organized and o	perated exclusively to te	st for publ	ic safety. S	See <b>sectio</b>	n 509(a)(4	4).				
11 📖	An organizat	on organized and o	perated exclusively for th	ne benefit	of, to perfo	orm the fur	nctions of,	or to carr	y out the	purposes o	of one	or
	more publicly	supported organiz	ations described in section	on 509(a)( <sup>-</sup>	1) or section	on 509(a)(2	2). See <b>sec</b>	tion 509(	<b>a)(3).</b> Che	eck the box	that	
	describes the	type of supporting	organization and comple	ete lines 1	1e through	n 11h.						
	a 🛄 Type I	<b>b</b> 🗔 T	ypell <b>c</b> L Ty	ype III - Fu	nctionally	integrated	d	<b>і</b> 📖 Тур	e III - Nor	n-functional	ly integ	grated
e 📖	By checking	this box, I certify the	at the organization is not	controllec	I directly o	r indirectly	by one or	r more dis	qualified	persons oth	ner tha	in
	foundation m	anagers and other	than one or more publicly	y supporte	d organiza	ations des	cribed in s	ection 509	9(a)(1) or	section 509	9(a)(2).	
f	If the organiz	ation received a wri	tten determination from t	the IRS tha	at it is a Ty	ре I, Туре	II, or Type	e III				
	supporting o	ganization, check t	his box									. 📖
g	Since Augus	17, 2006, has the	organization accepted ar									
	(i) A perso	n who directly or inc	directly controls, either al	one or tog	ether with	persons c	lescribed i	in (ii) and (	iii) below,	,	Yes	No
	the gove	erning body of the s	upported organization?							11g(i)		
	(ii) A family	member of a perso	n described in (i) above?							11g(ii)		
	(iii) A 35% (	controlled entity of a	a person described in (i) o	or (ii) above	ə?					<b>11g(iii)</b>		
h	Provide the f	ollowing information	about the supported or	ganization	(s).							
			i									
(i) Name	of supported	(ii) EIN	(inf) i jpo or organization	(iv) Is the c				(vi) Is organizatio	s the	(vii) Amount	t of mo	netary
orga	organization (described on lines 1-9 in co. () isted in your organization in co. (i) organized in the support							port				
			above or IRC section (see instructions))			., ,						
			· · · · · ·	Yes	No	Yes	No	Yes	No			

Schedule A (Form 990 or 990-EZ) 2012

# 23-7116528 Page 2

Schedule A	(Form 990 or 990-EZ) 2012 FOUNDATION, INC.	23-7116528 <sub>Pag</sub>
Part II	Support Schedule for Organizations Described in Sections 170	(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
	(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization	on failed to qualify under Part III. If the organization

fails to qualify under the tests listed below, please complete Part III.)

Sec	ction A. Public Support						
Cale	ndar year (or fiscal year beginning in) 🕨	<b>(a)</b> 2008	<b>(b)</b> 2009	(c) 2010	<b>(d)</b> 2011	<b>(e)</b> 2012	(f) Total
1	Gifts, grants, contributions, and						
	membership fees received. (Do not						
	include any "unusual grants.")	22,335,455.	4,418,390.	3,367,195.	4,926,037.	4,731,150.	39,778,227.
2	Tax revenues levied for the organ-						
	ization's benefit and either paid to						
	or expended on its behalf						
3	The value of services or facilities						
	furnished by a governmental unit to						
	the organization without charge						
4	Total. Add lines 1 through 3	22,335,455.	4,418,390.	3,367,195.	4,926,037.	4,731,150.	39,778,227.
	The portion of total contributions						
	by each person (other than a						
	governmental unit or publicly						
	supported organization) included						
	on line 1 that exceeds 2% of the						
	amount shown on line 11,						
	column (f)						
6	Public support. Subtract line 5 from line 4.						39,778,227.
	ction B. Total Support						
Cale	ndar year (or fiscal year beginning in) 🕨	(a) 2008	<b>(b)</b> 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7	Amounts from line 4	22,335,455.	4,418,390.	3,367,195.	4,926,037.	4,731,150.	39,778,227.
8	Gross income from interest,						
	dividends, payments received on						
	securities loans, rents, royalties						
	and income from similar sources	846,177.	873,128.	1,469,053.	1,511,843.	5,148,344.	9,848,545.
9	Net income from unrelated business						
	activities, whether or not the						
	business is regularly carried on						
10	Other income. Do not include gain						
	or loss from the sale of capital						
	assets (Explain in Part IV.)	565,939.	608,403.	250,288.	211,740.	249,691.	1,886,061.
11	Total support. Add lines 7 through 10						51,512,833.
12	Gross receipts from related activities,	etc. (see instruction	ons)			12	
13	First five years. If the Form 990 is for	the organization's	first, second, thir	d, fourth, or fifth ta	ax year as a sectio	n 501(c)(3)	
	organization, check this box and stop	here					
Sec	organization, check this box and stor ction C. Computation of Publ	ic Support Pe	rcentage				
14	Public support percentage for 2012 (	ine 6, column (f) di	vided by line 11, c	olumn (f))		14	77.22 %
	Public support percentage from 2011					15	83.77 %
16a	33 1/3% support test - 2012. If the c	organization did no	t check the box o	n line 13, and line <sup>-</sup>	14 is 33 1/3% or n	nore, check this bo	
	${\color{black} \text{stop}}$ here. The organization qualifies		-				
b	33 1/3% support test - 2011. If the o						is box
	and stop here. The organization qual						▶∟
17a	10% -facts-and-circumstances tes	t - 2012. If the org	anization did not c	heck a box on line	e 13, 16a, or 16b, a	and line 14 is 10%	or more,
	and if the organization meets the "fac	ts-and-circumstan	ces" test, check th	nis box and <b>stop h</b>	ere. Explain in Par	t IV how the organ	ization
	meets the "facts-and-circumstances"	test. The organiza	tion qualifies as a	publicly supported	d organization		▶∟
b	10% -facts-and-circumstances tes	<b>t - 2011.</b> If the org	anization did not c	heck a box on line	e 13, 16a, 16b, or <sup>-</sup>	17a, and line 15 is	10% or
	more, and if the organization meets the	ne "facts-and-circu	mstances" test, cl	neck this box and s	<b>stop here.</b> Explain	in Part IV how the	
	organization meets the "facts-and-cire	cumstances" test.	The organization o	qualifies as a public	cly supported orga	anization	▶∐
18	Private foundation. If the organization	n did not check a	box on line 13, 16	a, 16b, 17a, or 17b	o, check this box a	nd see instructions	s ►

Schedule A (Form 990 or 990-EZ) 2012

### Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Sec	ction A. Public Support				-		
Cale	ndar year (or fiscal year beginning in) 🕨	<b>(a)</b> 2008	<b>(b)</b> 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1	Gifts, grants, contributions, and						
	membership fees received. (Do not						
	include any "unusual grants.")						
2	· · · · · · · · · · · · · · · · · · ·						
	merchandise sold or services per-						
	formed, or facilities furnished in any activity that is related to the						
	organization's tax-exempt purpose						
3	Gross receipts from activities that						
	are not an unrelated trade or bus-						
	iness under section 513						
4	Tax revenues levied for the organ-						
	ization's benefit and either paid to						
	or expended on its behalf						
5	The value of services or facilities						
	furnished by a governmental unit to						
	the organization without charge						
6	Total. Add lines 1 through 5						
	Amounts included on lines 1, 2, and						
	3 received from disqualified persons						
b	Amounts included on lines 2 and 3 received		1				
	from other than disqualified persons that						
	exceed the greater of \$5,000 or 1% of the						
	amount on line 13 for the year						
	г						
	Public support (Subtract line 7c from line 6.)						
	ndar year (or fiscal year beginning in)	(-) 0000	(1-) 0000	(-) 0010	(-1) 0011	(-) 0010	(6) T_++-1
	· · · · · · · · · ·	<b>(a)</b> 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
	Amounts from line 6						
108	dividends, payments received on						
	securities loans, rents, royalties						
	and income from similar sources						
b	Unrelated business taxable income						
	(less section 511 taxes) from businesses						
	acquired after June 30, 1975						
	Add lines 10a and 10b						
11	Net income from unrelated business activities not included in line 10b,						
	whether or not the business is						
	regularly carried on						
12	Other income. Do not include gain						
	or loss from the sale of capital assets (Explain in Part IV.)						
13	Total support. (Add lines 9, 10c, 11, and 12.)						
14	First five years. If the Form 990 is for	the organization'	s first, second, thi	d, fourth, or fifth t	tax year as a section	on 501(c)(3) organiz	zation,
	check this box and stop here	-					
Sec	ction C. Computation of Publi						
15	Public support percentage for 2012 (li	ne 8, column (f) c	divided by line 13,	column (f))		15	%
16	Public support percentage from 2011	Schedule A, Parl	t III, line 15			16	%
Sec	ction D. Computation of Inves	tment Incom	ne Percentage				
17	Investment income percentage for 20	12 (line 10c, colu	mn (f) divided by li	ne 13, column (f))		17	%
18	Investment income percentage from 2					18	%
	<b>33 1/3% support tests - 2012.</b> If the					33 1/3% , and line	17 is not
	more than 33 1/3%, check this box ar						
b	<b>b 33 1/3% support tests - 2011.</b> If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and						
	line 18 is not more than 33 1/3%, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization						
20	Private foundation. If the organization						
	5		1.2	. ,			<i>F</i>

#### Schedule B (Form 990, 990-EZ,

or 990-PF) Department of the Treasury Internal Revenue Service

## **Schedule of Contributors**

Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No. 1545-0047

2012

Employer identification number

23-7116528

Name	of	the	or	gar	niza	tio	n

#### NORTHERN KENTUCKY UNIVERSITY

FOUNDATION, INC.

Filers of:	Section:
Form 990 or 990-EZ	X 501(c)( 3) (enter number) organization
	4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation
	527 political organization
Form 990-PF	501(c)(3) exempt private foundation
	4947(a)(1) nonexempt charitable trust treated as a private foundation
	501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**. **Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

#### **General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

#### **Special Rules**

X For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

#### Name of organization NORTHERN KENTUCKY UNIVERSITY FOUNDATION, INC.

Page 2

Part I	Contributors (see instructions). Use duplicate copies of Part I if additionate	al space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>    1</u>	THE BANK OF KENTUCKY 111 LOOKOUT FARM DRIVE, PO BOX 17600 CRESTVIEW HILLS, KY 41017	\$ 809,143.	Person X Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	THE CAROL AND RALPH HAILE/US BANK FOUNDATION U.S. BANK TOWER 425 WALNUT STREET, 10TH FLOOR CINCINNATI, OH 45202	\$100,000.	Person X Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
3	GRIFFIN FAMILY TRUST 4221 ALEXANDRIA PIKE COLD SPRING, KY 41076	\$333,333.	Person X Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
4	W.B. LUNSFORD/ LUNSFORD CAPITAL, LLC 4360 BROWNSBORO RD, STE 305 LOUISVILLE, KY 40207	\$1,000,000.	Person X Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
5	GEOFFREY S. MEARNS NKU, OFFICE OF THE PRESIDENT, AC 800B HIGHLAND HEIGHTS, KY 41099	\$ <u>100,000.</u>	Person X Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
6	RONALD R. NOEL 10741 OMAHA TERRACE UNION, KY 41091	\$200,630.	Person Payroll Noncash X (Complete Part II if there is a noncash contribution.)

Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

#### Name of organization NORTHERN KENTUCKY UNIVERSITY FOUNDATION, INC.

Employer identification number	
--------------------------------	--

23-7116528

Part I	Contributors (see instructions). Use duplicate copies of Part I if addition	nal space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	THOMAS J. SMART P.O. BOX 339 FORT WALTON BEACH, FL 32549	\$141,000.	Person X Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
8	ALICE S. SPARKS 169 KRUEMPELMAN DRIVE FT. MITCHELL, KY 41011	\$100,000.	Person X Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
9	TOYOTA USA FOUNDATION 601 LEXINGTON AVENUE, 49TH FLOOR NEW YORK, NY 10022	\$560,000.	Person X Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Oronaction Payroll Oronaction (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)

Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Schedule B (Form 990, 990-EZ, or 990-PF) (2012)	Page <b>3</b>			
Name of organization	Employer identification number			
NORTHERN KENTUCKY UNIVERSITY FOUNDATION, INC.	23-7116528			
Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.				
(a)				

(a) No. from	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
Part I			
-	STOCK		
6			
			10/10/10
		\$\$	10/12/12
(a)		(c)	
No.	(b)	FMV (or estimate)	(d)
from Part I	Description of noncash property given	(see instructions)	Date received
Part I			
		\$	
(a)		(c)	
No.	(b)	FMV (or estimate)	(d)
from Part I	Description of noncash property given	(see instructions)	Date received
Faili			
		[	
		\$	
		*	
(a)		(-)	
No.	(b)	(c) FMV (or estimate)	(d)
from	Description of noncash property given	(see instructions)	Date received
Part I			
		\$	
		*	
(a)			
No.	(b)	(c) FMV (or estimate)	(d)
from	Description of noncash property given	(see instructions)	Date received
Part I			
		—	
		 \$	
		*	
(a)			
No.	(b)	(c)	(d)
from	Description of noncash property given	FMV (or estimate) (see instructions)	Date received
Part I			
		 \$	
3453 12-2	1.12		90, 990-EZ, or 990-PF) (201

Schedule B (Form 990	, 990-EZ, or 990-PF) (2012)

1	Pane	4

Name of or	ganization			Employer identification number
NORTH	ERN KENTUCKY UNIVERSITY			
FOUND	ATION, INC.			23-7116528
Part III	Exclusively religious, charitable, etc., indiverse of the second	Idual contributions to section a the following line entry. For organ c., contributions of <b>\$1,000 or le</b> al space is needed.	bul(c)(/), (8), or (10) o nizations completing Par ss for the year. <sub>(Enter this in</sub>	rganizations that total more than \$1,000 for the t III, enter formation once.) \$
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift		(d) Description of how gift is held
		(e) Transfer o	of gift	
	Transferee's name, address, ar	nd ZIP + 4	Relations	hip of transferor to transferee
(a) No.				
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift		(d) Description of how gift is held
		(e) Transfer o	of gift	
·	Transferee's name, address, ar	nd ZIP + 4	Relations	hip of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift		(d) Description of how gift is held
		(e) Transfer o	of gift	
·	Transferee's name, address, a	nd ZIP + 4	Relations	nip of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift		(d) Description of how gift is held
		(e) Transfer o	of gift	
·	Transferee's name, address, a	nd ZIP + 4	Relations	nip of transferor to transferee

SC	HEDULE D Supplement	al Financial Statements		OMB No. 1545-0047
		ganization answered "Yes," to Form 990,		2012
•	Part IV, line 6, 7, 8, 9, 1	0, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.		Open to Public
	I Revenue Service Attach to Forr	m 990. ▶ See separate instructions.		Inspection
Nam	e of the organization NORTHERN KENTUCKY FOUNDATION, INC.	UNIVERSITY	Emp	ployer identification number $23 - 7116528$
Pai	rt I Organizations Maintaining Donor Advis	ed Funds or Other Similar Funds or	Αссοι	Ints.Complete if the
	organization answered "Yes" to Form 990, Part IV, lir	ne 6.		
		(a) Donor advised funds	<b>(b)</b> Fun	ids and other accounts
1	Total number at end of year			
2	Aggregate contributions to (during year)			
3	Aggregate grants from (during year)			
4	Aggregate value at end of year			
5	Did the organization inform all donors and donor advisors in	-		
-	are the organization's property, subject to the organization's			Yes No
6	Did the organization inform all grantees, donors, and donor	0 0	•	
	for charitable purposes and not for the benefit of the donor		-	
Par		rganization answered "Ves" to Form 990. Part IV		
1	Purpose(s) of conservation easements held by the organiza		, 1110 7 .	
•	Preservation of land for public use (e.g., recreation or		allv impo	ortant land area
	Protection of natural habitat	Preservation of a certified		
	Preservation of open space			
2	Complete lines 2a through 2d if the organization held a qua	lified conservation contribution in the form of a	conserv	ation easement on the last
	day of the tax year.			
				Held at the End of the Tax Year
а	Total number of conservation easements		2a	
b			2b	
С	Number of conservation easements on a certified historic st		2c	
d	Number of conservation easements included in (c) acquired			
•	listed in the National Register		2d	
3	Number of conservation easements modified, transferred, r	eleased, extinguished, or terminated by the orga	anizatioi	h during the tax
4	year ► Number of states where property subject to conservation e	assement is located		
5	Does the organization have a written policy regarding the po			
•	violations, and enforcement of the conservation easements			Yes No
6	Staff and volunteer hours devoted to monitoring, inspecting			
7	Amount of expenses incurred in monitoring, inspecting, and	d enforcing conservation easements during the	/ear 🕨	\$
8	Does each conservation easement reported on line 2(d) abo	ove satisfy the requirements of section 170(h)(4)	(B)(i)	
	and section 170(h)(4)(B)(ii)?			Yes 🛛 No
9	In Part XIII, describe how the organization reports conserva	ation easements in its revenue and expense stat	ement, a	and balance sheet, and
	include, if applicable, the text of the footnote to the organization	ation's financial statements that describes the c	organiza	tion's accounting for
De	conservation easements.	of Art Historical Tracerures or Other	. Cine il	
Pa	t III Organizations Maintaining Collections of Complete if the organization answered "Yes" to Form		311111	ar Assels.
10	If the organization elected, as permitted under SFAS 116 (A		and hal	anaa abaat warka of art
ia	historical treasures, or other similar assets held for public ex			
	the text of the footnote to its financial statements that desc			
b	If the organization elected, as permitted under SFAS 116 (A		balance	e sheet works of art. historical
-	treasures, or other similar assets held for public exhibition, e			
	relating to these items:	, Faran -	,	<b>3</b>
	(i) Revenues included in Form 990, Part VIII, line 1		►	\$
	(ii) Assets included in Form 990, Part X			\$
2	If the organization received or held works of art, historical tr			le
	the following amounts required to be reported under SFAS	116 (ASC 958) relating to these items:		
а	Revenues included in Form 990, Part VIII, line 1			\$
b	Assets included in Form 990, Part X		🕨	\$

Schedule Driom 900 2012       FOUNDATION, INC.       23 - 7116528 page 2         Part III. Or operations of Arth intaining Collections of Art, Historical Tressures, or Other Similar Asset§continued]         3 Using the organizations Maintaining Collections of Art, Historical Tressures, or Other Similar Asset§continued]         a Conduct a childron       a Loan or exchange programs         b Collection of the organization Solide trons and explain how they further the organization's exempt purpose in Part XII.         5 During the year. (dit the organization solide trons of the records, check any of the following that assets to be sold to raise funds rather than to be maintained as part of the organization calculation assets to be sold to raise funds rather than to be maintained as part of the organization assets of the sold to raise funds rather than to be maintained as part of the organization answered "Yes" to Fom 900, Part XII.         1a Is the organization and applicit funds       a organization asset of the organization on the organization asset of the organization included on Fom 900, Part XII.         1a Is the organization and applicit funds       a data organization angle that the part XII. and complete the following table:         1f       the organization angle that the part XII.       the organization angle that the part XII.         2a Ddth organization include an amount on Form 900, Part X, line 210.       the organization include an amount on Form 900, Part X, line 210.         2a Odt the organization include an amount on Form 900, Part X, line 210.       the organization include an amount on Form 900, Part X, line 210.			N KENTUCKY	UNIVERSI	ΓY			/			
S Using the erganization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check at that apph);											
chick at that apply:       chick at that apply:         a       Palle exhibition         b       Scholarly research         c       Provide a cervitation is collections and explain how they further the organization's exempt purpose in Part XIII.         5       Using the year, did the organization's collections?       Yes       No         Fart. UP       Excrow and Custodial Arrangements. Complete if the organization's collection?       Yes       No         Part. UP       Excrow and Custodial Arrangements. Complete if the organization's collection?       Yes       No         b       I''ses': "explain the arrangement in Part XIII and complete the following table:       Immonstrain apply. The yes       No         b       I''ses': "explain the arrangement in Part XIII. Check here if the explanation in scheme provided in Part XII.       Yes       No         b       I''ses': "explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XII.       Yes       No         b       I'''ses': "explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII.       Yes       No         b       I'''ses': "explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII.       Yes: "explain the arrangement in Part XIII. Check here if the explanation in Part XIII.         Part VI. Indepart the arrangement in Part XIII. Check here if the explanatin answer	Pa	rt III   Organizations Maintaining C	Collections of A	rt, Historical T	reasures,	or Othe	er Simila	ar Asse	ts(continu	ued)	
a Public exhibition d  G  Can or exchange programs e  Characterization's exempt purpose in Part XIII.  4 Provide a description of the organization's collection's collection's collection's collection's collection's collection's collection's collection's exempt purpose in Part XIII. 5 During the year, did the organization's collection's exempt purpose in Part XIII. 5 During the year did the organization and the mathematical as part of the organization's exempt purpose in Part XIII. 5 During the year did the organization and the intermediary for contributions or other assets not included for the programs of the intermediary for contributions or other assets not included for the organization and purpose in Part XIII. 5 Definition of the arrangement in Part XIII and complete the following table: 6 Beginning balance 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	3	Using the organization's acquisition, accessi	on, and other record	ls, check any of the	e following tha	it are a si	gnificant ι	use of its	collection	items	
b       Scholarly research       e       Other         c       Previse a valuation for huture generations       e       Other         4       Provide a comprise on the organization is collections and explain how they further the organization's exempt purpose in Part XIII.       5       During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assatts       to be solid the organization answered "Yes" to Form 990, Part IV, line 9, or       regorization an agent, trustee, custodian or other intermediary for contributions or other assets not included       on Form 990, Part X, line 21.         1a       Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included       on Form 990, Part X, line 21.       Yes       No         b       If Yes, 'explain the arrangement in Part XIII and complete the following table:		(check all that apply):									
c       Previde a description of vfuture generations         4       Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.         5       During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets         to be sold to raise funds rather than to be maintained as part of the organization answered "Yes" to Form 900, Part IV, line 9, or reported an anount on Form 900, Part X, line 21.         1a       Is the organization an agent, trustee, custocian or other intermediary for contributions or other assets not included on Form 900, Part X, line 21.         1a       Is the organization an agent, trustee, custocian or other intermediary for contributions or other assets not included on Form 900, Part X, line 21.         1a       Is the organization include an amount on Form 900, Part X, line 21?         2       Define organization include an amount on Form 900, Part X, line 21?         2       Define organization include an amount on Form 900, Part X, line 21?         2       Define organization include an amount on Form 900, Part X, line 21?         2       Define organization include an amount on Form 900, Part X, line 21?         2       Define organization include an amount on Form 900, Part X, line 21?         2       Define organization include an amount on Form 900, Part X, line 10.         1a       Endowment Funds. Completer if the organization maswered "Yes' to Form 900, Part X, line 10.	а	Public exhibition	d		change progra	ams					
4 Provide a description of the organization's collections and explain how they further the organization's everety truppes in Part XIII. 5 During the year, did the organization solicit or receive donations of at, historical treasures, or other similar assets to be solid to raise funds ather than to be maintained as part of the organization answered "Yes" to Form 990, Part IV, line 9, or reported an anount on Form 990, Part X, line 21. 14 Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X, line 21. 15 Beginning balance c Beginning balance 16 didditions during the year 16 Distributions during the year 17 Fest, "explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII. Part V Endowment Funds. Complete if the organization has been provided in Part XIII. Part V Endowment Funds. Complete if the organization has been provided in Part XIII. Part V Endowment Funds. Complete if the organization in Part XIII. 10 (b) If "Yes", explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII. Part V Endowment Funds. Complete if the organization incluses 14 Beginning of year balance 14 Administrative expenses 15, 576, 000. 12, 576, 000. 14, 247, 000. 41, 247, 000. 41, 247, 000. 41, 247, 000. 41, 247, 000. 41, 540, 000. 5, 789, 000. 2, 714, 445, 000. 64, 061, 000. 59, 332, 000. 47, 566, 000. 41, 237, 000. 41, 247, 000. 41, 247, 000. 41, 247, 000. 41, 247, 000. 41, 247, 000. 41, 247, 000. 41, 247, 000. 41, 247, 000. 41, 247, 000. 41, 247, 000. 41, 247, 000. 41, 247, 000. 41, 247, 000. 41, 247, 000. 41, 247, 000. 41, 247, 000. 41, 247, 000. 41, 247,	b	b Scholarly research e Other									
<ul> <li>5 During the year, did the organization solicit or roceive donations of art, historical treasures, or other similar assats to be solid to raise hufs rather than to be maintained as part of the organization's collection?</li> <li>Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X ine 21.</li> <li>1 Is the organization an agent, fustace, custodian or other intermediary for contributions or other assets not included on Form 990, Part X / Ine 21.</li> <li>1 Is the organization an agent, fustace, custodian or other intermediary for contributions or other assets not included on Form 990, Part X / Ine 21.</li> <li>1 Be difficult or an anount on Form 990, Part X, line 211.</li> <li>2 Beginning balance</li> <li>1 Id</li> <li>2 Ending balance</li> <li>1 Id</li> <li>2 Ending balance</li> <li>1 Id</li> <li>2 Ending balance</li> <li>1 Id</li> <li>3 Ending balance</li> <li>1 Ending balance</li> <li>1 Id</li> <li>3 Ending balance</li> <li>1 Id</li> <li>3 Ending balance</li> <li>1 Ending balance</li></ul>	С	Preservation for future generations									
to be sold to rise funds: rather than to be maintained as part of the organization's collection?         Yes         No.           Part IV         Escrow and Custodial Arrangements. Complete if the organization answered 'Yes' to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.         Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X, line 21.         Yes         No.           b         If "Yes," explain the arrangement in Part XIII and complete the following table:         Amount         Yes         No.           c         Beginning balance         1d         Image: Complete in Part XIII         Yes         No.           b         If "Yes," explain the arrangement in Part XIII and complete the following table:         Amount         Yes         No.           b         If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII         Yes         No.           b         If Yes, "explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII         Yes         No.           b         Control years back (of Interverst back (e) Four years back (of Interverst back (e) Four years back (of Three years back (e) Four years back (of Three years back (e) Four years back (e	4	Provide a description of the organization's co	ollections and explair	n how they further	the organizati	on's exer	npt purpo	se in Par	t XIII.		
Part IV       Escrow and Custodial Arrangements. Complete if the organization answered 'Yes' to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.         1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X       Ves       No         b If 'Yes, "explain the arrangement in Part XIII and complete the following table:	5	During the year, did the organization solicit of	r receive donations o	of art, historical tre	asures, or oth	er similar	assets		_		
reported an amount on Form 990, Part X, line 21.           1a         Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?         No           b         If 'Yes,'' explain the arrangement in Part XIII and complete the following table:         Amount           c         Beginning balance         1d           d         Additions during the year         1d           2         Distributions during the year         1d           Part V         Endowment Funds. Complete if the organization has been growided in Part XIII.         Ves         No           b         Grif Yes, 'supplain the arrangement in Part XIII. Check here if the explanation has been growided in Part XIII.         Ves         No           Deart V         Endowment Funds. Complete if the organization canswered 'Yes' to Form 990, Part X, line 217.         Ves         All Section 2, 284, 000.         6, 362, 000.           1a         Beginning of year balance         6, 501, 000.         1, 362, 000.         1, 362, 000.         1, 362, 000.         1, 362, 000.         1, 362, 000.         1, 362, 000.         1, 362, 000. <t< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>No No</td></t<>										No No	
1a       is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?       Yes       No         b       If 'Yes,' explain the arrangement in Part XIII and complete the following table:       Amount       Amount         c       Beginning balance.       1d       Amount       1d         d       Additions during the year       1d       1d       1d         2a       Did the organization include an amount on Form 990, Part X, line 21?       Yes       No         b       If 'Yes,' explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII.       Yes       No         b       If 'Yes,' explain the arrangement in Part XIII. Check here if the explanation answered 'Yes' to Form 990, Part X (Ine 10.       Yes       No         d       If a Beginning of year balance       (a) Current year       (b) For year       (c) Two years back (f) (Differe years back (f) 4, 566, 000.       41, 540, 000.       41, 540, 000.       51, 32, 000.       47, 556, 000.       41, 540, 000.       51, 315, 000.       5, 185, 000.       5, 185, 000.       5, 185, 000.       51, 15, 60. 00.       1, 556, 000.       1, 350, 000.       5, 185, 000.       51, 135, 000.       5, 185, 000.       51, 135, 000.       51, 32, 000.       47, 566, 000.       41, 237, 000.       41, 540, 000.       51, 32, 000. </td <td>Par</td> <td></td> <td></td> <td>ete if the organizati</td> <td>on answered</td> <td>"Yes" to  </td> <td>Form 990,</td> <td>Part IV, I</td> <td>ine 9, or</td> <td></td>	Par			ete if the organizati	on answered	"Yes" to	Form 990,	Part IV, I	ine 9, or		
on Form 900, Part X?       Yes       No         b If "Yes," explain the arrangement in Part XIII and complete the following table:       Amount         c Beginning balance       1d         d Additions during the year       1d         e Beginning balance       1d         2 Did the organization include an amount on Form 990, Part X, line 21?       Yes       No         b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII       Yes       No         b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII       Yes       No         b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII       Yes       No         b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII       Yes       No         b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII       Yes       No         b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII       Yes       No         b Contributions       64, 061,000, 59,312,000, 47,566,000, 41,237,000, 41,23		reported an amount on Form 990, Pa	rt X, line 21.								
b If 'Yes,' explain the arrangement in Part XIII and complete the following table:  c Beginning balance d Additions during the year ic I d daditions during the year ic I d d d dotte organization include an amount on Form 990, Part X, line 217 if I d d d dotte organization if I d d d dotte organization include an amount on Form 990, Part X, line 217 if I d d d for the explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII Part V Endowment Funds. Complete if the organization answered 'Yes' to Form 990, Part X, line 10. if Administrative explains the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII d d d, G1 Three years back d e4, 061, 000, 59, 332, 000, 47, 566, 000, 2, 848, 000, 6, 528, 200, 0, 0, 28, 849, 000, 6, 528, 200, 0, 1, 362, 000, 3, 256, 000, 2, 848, 000, 6, 528, 200, 0, 1, 362, 000, 1, 342, 000, 0, 1, 339, 000, -5, 789, 000, 0, 1, 362, 000, 1, 344, 000, 1, 704, 000, -5, 789, 000, 1, 339, 000, -12, 000, 1, 444, 000, 1, 704, 000, -5, 789, 000, 1, 339, 000, -12, 000, 1, 444, 000, 1, 704, 000, -1, 339, 000, -12, 000, 1, 444, 000, 1, 704, 000, -1, 339, 000, -147, 566, 000, 41, 237	1a	Is the organization an agent, trustee, custod	ian or other intermed	liary for contributio	ons or other as	sets not	included		-		
c       Beginning balance       Id         d       Additions during the year       Id         e       Distributions during the year       Id         f       Ending balance       If         a       Did the organization include an amount on Form 990, Part X, line 21?       If         F       Ending balance       If       If         a       Did the organization include an amount on Form 990, Part X, line 21?       If       If         Part V       Endowment Funds. Complete if the organization answered 'Yes' to Form 990, Part X, line 10.       If       If         Part V       Endowment Funds. Complete if the organization answered 'Yes' to Form 990, Part X, line 10.       If       If       If         Beginning of year balance       64,061,000.       59,332,000.       47,566,000.       41,237,000.       5,285,000.         C       Net investment earnings, gains, and losses       8,510,000.       -215,000.       9,954,000.       5,185,000.       -5,789,000.         C       Other expenditures for facilities       -12,000.       1,362,000.       1,444,000.       1,704,000.       1,339,000.         Permanet endowment I       30.58       %       %       %       %       %       %         Permanet endowment I wids not in the possession of the organ		on Form 990, Part X?						L	Yes	└── No	
c       Beginning balance       id         d       Additions during the year       id         e       Distributions during the year       id         f       Ending balance       iff         2a       Did the organization include an amount on Form 990, Part X, line 21?       iff         b       If 'yes' resplan the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII       iff         Part V       Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part X, line 10.       iff         ia       Beginning of year balance       is 40, Current year       (b) Pror year       (c) Two years back       (e) Four years back         ia       Beginning of year balance       is 40, Current year       (b) Pror year       (c) Two years back       (e) Four years back         ia       Beginning of year balance       is 510, 000.       -325, 000.       3, 256, 000.       5, 185, 000.       -5, 783, 000.         ic       Grants or scholarships       i, 576, 000.       1, 362, 000.       1, 704, 000.       1, 339, 000.         ic       Administrative expenses       71, 445, 000.       64, 061, 000.       59, 332, 000.       47, 566, 000.       41, 237, 000.         g       End of year balance       71, 445, 000.       64, 061, 000.       59, 332	b	If "Yes," explain the arrangement in Part XIII	and complete the fo	llowing table:							
d Additions during the year       Id         e Distributions during the year       Id         1       Ending balance       It         2a Did the organization include an amount on Form 990, Part X, line 21?       Im       Im         Part V       Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.       Im       Im         1a Beginning of year balance       (a) Current year       (b) Prior year       (c) Two years back       (c) Four years back       (c) F									Amount		
d Additions during the year       Id         e Distributions during the year       Id         1       Ending balance       It         2a Did the organization include an amount on Form 990, Part X, line 21?       Im       Im         Part V       Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.       Im       Im         1a Beginning of year balance       (a) Current year       (b) Prior year       (c) Two years back       (c) Four years back       (c) F	с	Beginning balance					. 1c				
e Distributions during the year       1e         f Ending balance       1f         2a Did the organization include an amount on Form 990, Part X, line 21?       ves         Part V Endowment Funds. Complete if the organization answered "Yes' to Form 990, Part IV, line 10.       10         1a Beginning of year balance       (a) Current year       (b) Prior year       (c) Iwo years back       (c) Three years back       (e) Four years back         1a Beginning of year balance       (a) Current year       (b) Prior year       (c) Iwo years back       (d) Three years back       (e) Four years back         1a Beginning of year balance       (a) Current year       (b) Prior year       (c) Iwo years back       (d) Three years back       (e) Four years back         1a Beginning of year balance       (a) Current year       (b) Prior year       (c) Iwo years back       (d) Three years back       (e) Four years back         1a Beginning of year balance       (a) Current year       (b) Prior year       (c) Iwo years back       (d) Three years back       (e) Four years back         1a Beginning of year balance       1, 576, 000.       1, 352, 000.       1, 704, 000.       1, 339, 000.         c Other expenditures for facilities       1, 12, 000.       1, 444, 000.       1, 704, 000.       1, 237, 000.         g End of year balance       To 4 do ganization       30.58 <td></td>											
f       Ending balance       Iff         2a       Did the organization include an amount on Form 990, Part X, line 21?       Image: Second	е										
2a       Did the organization include an amount on Form 990, Part X, line 21?	f										
Part V         Endowment Funds. Complete if the organization answered 'Yes' to Form 990, Part IV, line 10.           1a         Beginning of year balance         (a) Current year         (b) Prior year         (c) Two years back         (d) Three years back         (e) Four years back           b         Contributions         438,000         59,332,000         47,566,000         41,237,000         41,540,000           c         Net investment earnings, gains, and losses         8,510,000         -215,000         9,954,000         5,185,000         -5,789,000           e         Other expenditures for facilities         1,576,000         1,342,000         640,061,000         1,339,000           g         End of year balance         71,445,000         64,061,000         59,332,000         47,566,000         41,237,000           g         End of year balance         56.82         %         %         71,445,000         59,332,000         47,566,000         41,237,000           g         Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:         a         30.58         %         %         %         540,000         54,200         47,566,000         41,237,000         1,237,000         1,237,000         1,237,000         1,237,000         1,237,000         1,237,000         1,237,000 <td>2a</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>L</td> <td>Yes</td> <td>No No</td>	2a							L	Yes	No No	
(a) Current year       (b) Prior year       (c) Two years back       (d) Three years back       (e) Four years back         1a Beginning of year balance       (a) Current year       (b) Prior year       (c) Two years back       (d) Three years back       (e) Four years back         b Contributions       (a) Current year       (b) Prior year       (c) Two years back       (d) Three years back       (e) Four years back         b Contributions       (a) Current year       (b) Prior year       (c) Two years back       (d) Three years back       (e) Four years back         b Contributions       (a) Current year       (b) Prior year       (c) Two years back       (d) Three years back	b	If "Yes," explain the arrangement in Part XIII.	. Check here if the ex	planation has bee	n provided in	Part XIII					
1a       Beginning of year balance              64,061,000, 59,332,000, 47,566,000, 41,237,000, 41,540,000, 438,000, 6,3256,000, 3,256,000, 2,849,000, 6,825,000, -5,789,000, -5,789,000, -5,789,000, -5,789,000, -5,789,000, -5,789,000, -5,789,000, 1,352,000, 1,3444,000, 1,704,000, 1,339,000, -12,000, 1,339,000, -12,000, 1,444,000, 1,704,000, 1,339,000, -12,000, 1,339,000, -12,000, 1,444,000, 1,704,000, 1,339,000, -12,000, 1,339,000, -12,000, 1,340,123,00, 1,23,00,	Pa	rt V Endowment Funds. Complete i	f the organization an	swered "Yes" to F	orm 990, Part	IV, line 1	0.				
b       Contributions       438,000       6,306,000       3,256,000       2,848,000       6,825,000         c       Net investment earnings, gains, and losses       8,510,000       -215,000       9,954,000       5,185,000       -5,789,000         d       Grants or scholarships       1,576,000       1,342,000       1,444,000       1,704,000       1,339,000         e       Other expenditures for facilities and programs       1,576,000       1,342,000       1,444,000       1,704,000       1,339,000         f       Administrative expenses       1,71,445,000       64,061,000       59,332,000       47,566,000       41,237,000         2       Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:       a Board designated or quasi-endowment ▶       30.58       %         b       Permanent endowment ▶       56.82       %       %       Yes       No         i)       unrelated organizations       30.58       %       Yes       No       340iji       X         ii)       unrelated organizations       56.82       %       Yes       No       340jiii       X         ii)       unrelated organizations       12.60       %       %       Yes       No       340jiiiii       X				<b>(b)</b> Prior year	(c) Two yea	rs back	( <b>d)</b> Three y	ears back			
c       Net investment earnings, gains, and losses       8,510,000.       -215,000.       9,954,000.       5,185,000.       -5,789,000.         d       Grants or scholarships       1,576,000.       1,362,000.       1,444,000.       1,704,000.       1,339,000.         e       Other expenditures for facilities and programs       -12,000.       1,444,000.       1,704,000.       1,339,000.         f       Administrative expenses       -12,000.       64,061,000.       59,332,000.       47,566,000.       41,237,000.         2       Provide the estimated percentage of the current year end balance (line fg, column (a)) held as:       a Board designated or quasi-endowment ▶       30.58       %         b       Permanent endowment ▶       56.82       %       %       %         b       Permanent endowment ▶       12.60       %       %       %       %         (i)       unrelated organizations       34(i)       X       %       %       %       %       %         (i)       unrelated organizations       12.60       %	1a	Beginning of year balance			. 47,56	6,000.	41,2	37,000.	41,	540,000.	
c       Net investment earnings, gains, and losses       8, 510, 000.       -215, 000.       9, 954, 000.       5, 185, 000.       -5, 789, 000.         d       Grants or scholarships       1, 576, 000.       1, 342, 000.       1, 444, 000.       1, 704, 000.       1, 339, 000.         e       Other expenditures for facilities and programs       -12, 000.       -1444, 000.       1, 704, 000.       1, 339, 000.         f       Administrative expenses       -12, 000.       -12,	b	Contributions	438,000.	6,306,000	. 3,25	6,000.	2,8	48,000.	6,	825,000.	
e       Other expenditures for facilities and programs       -12,000.       -12,000.         f       Administrative expenses       -12,000.       41,237,000.         g       End of year balance       71,445,000.       64,061,000.       59,332,000.       47,566,000.       41,237,000.         2       Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as: a Board designated or quasi-endowment ▶       30.58       %         b       Permanent endowment ▶       12.60       %         c       Temporarily restricted endowment ▶       12.60       %         The percentages in lines 2a, 2b, and 2c should equal 100%.       3a       Are there endowment funds not in the possession of the organization that are held and administered for the organization by:       Yes       No         (i)       unrelated organizations       3a(ii)       X       3a(ii)       X         b       If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?       3b       Image: Softward and administered for the organization         4       Description of property       (a) Cost or other       (b) Cost or other       (c) Accumulated       (d) Book value         1a       Land       340,123.       340,123.       340,123.       0.       Image: Softward and administered (c) Accumulated       Image: Softward an	с		8,510,000.	-215,000	. 9,95	4,000.	5,1	85,000.	-5,	789,000.	
and programs       -12,000.	d	Grants or scholarships	1,576,000.	1,362,000	. 1,44	4,000.	1,7	04,000.	1,	339,000.	
f       Administrative expenses       71,445,000.       64,061,000.       59,332,000.       47,566,000.       41,237,000.         2       Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:       a Board designated or quasi-endowment ▶       30.58       %         b       Permanent endowment ▶	е	Other expenditures for facilities									
f       Administrative expenses       71,445,000.       64,061,000.       59,332,000.       47,566,000.       41,237,000.         2       Provide the estimated percentage of the current year end balance (line 1g, column (al) held as: <ul> <li>a Board designated or quasi-endowment ▶</li> <li>30.58</li> <li>%</li> <li>b Permanent endowment ▶</li> <li>56.82</li> <li>%</li> <li>c Temporarily restricted endowment ▶</li> <li>12.60</li> <li>%</li> </ul> <ul> <li>a Are there endowment ▶</li> <li>12.60</li> <li>%</li> <li>The percentages in lines 2a, 2b, and 2c should equal 100%.</li> </ul> <ul> <li>a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:             <ul> <li>(i) unrelated organizations</li> <li>(ii) related organizations</li> <li>(iii) related organizations</li> <li>(iii) related organizations</li> <li>(iii) related organizations listed as required on Schedule R?</li> <li>4 Describe in Part XIII the intended uses of the organization's endowment funds.</li> </ul> </li> <li>Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.</li> <li>Description of property</li> <li>(a) Cost or other basis (investment)</li> <li>(b) Cost or other basis (other)</li> <li>(c) Accumulated depreciation</li> <li>(d) Book value</li> <li>(e) Case or other basi</li></ul>		and programs	-12,000.								
g End of year balance       71,445,000.       64,061,000.       59,332,000.       47,566,000.       41,237,000.         2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:       a Board designated or quasi-endowment ▶       30.58       %         b Permanent endowment ▶       56.82       %       *       *       *         c Temporarily restricted endowment ▶       12.60       %       *       *         map: the percentages in lines 2a, 2b, and 2c should equal 100%.       3a       Are there endowment funds not in the possession of the organization that are held and administered for the organization by:       (i) unrelated organizations       ¥es No         ii) unrelated organizations       3a(i)       X       3a(i)       X         b If "Yes" to 3a(ii), are the related organization's endowment funds.       3b       4         Part VI       Land, Buildings, and Equipment. See Form 990, Part X, line 10.       340,123.         b Buildings       208,028.208,028.028.0.0.       0.         c Leasehold improvements       208,028.208,028.0.0.       0.         c Leasehold improvements       208,028.208,028.0.       0.         c Leasehold improvements       208,028.208,028.0.       0.         c Leasehold improvements       340,123.       340,123.         b Buildings	f										
2       Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:         a       Board designated or quasi-endowment ▶       30.58       %         b       Permanent endowment ▶       12.60       %         c       Temporarily restricted endowment ▶       12.60       %         The percentages in lines 2a, 2b, and 2c should equal 100%.       3a       Are there endowment funds not in the possession of the organization that are held and administered for the organization by:       (i) unrelated organizations       3a(i)       X         (i)       unrelated organizations       3a(ii)       X       3a(ii)       X         (ii)       related organizations       3a(ii)       X       3a(ii)       X         b       If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?       3a(ii)       X         4       Describe in Part XIII the intended uses of the organization's endowment funds.       Part VI       Land, Buildings, and Equipment. See Form 990, Part X, line 10.       (c) Accumulated depreciation       (d) Book value         1a       Land       340, 123.       340, 123.       340, 123.       0.         b       Buildings       208, 028.       208, 028.       0.       0.         c       Leasehold improvements       208, 028.       208, 028.			71,445,000.	64,061,000	. 59,33	2,000.	47,5	66,000.	41,	237,000.	
b       Permanent endowment ▶ <u>56.82</u> %         c       Temporarily restricted endowment ▶ <u>12.60</u> %         The percentages in lines 2a, 2b, and 2c should equal 100%.       3a       Are there endowment funds not in the possession of the organization that are held and administered for the organization by:         (i) unrelated organizations       3a(i)       X         (ii) unrelated organizations       3a(ii)       X       3a(ii)       X         (ii) related organizations       3a(ii)       X       3a(ii)       X         4       Describe in Part XIII the intended uses of the organization's endowment funds.       3b	2		rent year end balanc	e (line 1g, column	(a)) held as:						
c       Temporarily restricted endowment ▶ 12.60 % The percentages in lines 2a, 2b, and 2c should equal 100%.         3a       Are there endowment funds not in the possession of the organization that are held and administered for the organization by:	а	Board designated or quasi-endowment	30.58	%							
The percentages in lines 2a, 2b, and 2c should equal 100%.         3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by: <ul> <li>(i) unrelated organizations</li> <li>(ii) related organizations</li> <li>(iii) related organizations</li> <li>(ii) related organizations</li> <li>(ii) related organizations</li> <li>(iii) related organizations listed as required on Schedule R?</li> <li>(iii) Describe in Part XIII the intended uses of the organization's endowment funds.</li> </ul> <ul> <li>Part VI</li> <li>Land, Buildings, and Equipment. See Form 990, Part X, line 10.</li> <li>(c) Accumulated depreciation</li> <li>(d) Book value basis (investment)</li> <li>(b) Cost or other basis (other)</li> <li>(c) Accumulated depreciation</li> <li>(d) Book value</li> <li>(d) Aud (ad) (ad) (ad) (ad) (ad) (ad) (ad) (ad</li></ul>	b	Permanent endowment > 56.82	%	_							
3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:       Yes       No         (i) unrelated organizations       3a(i)       X         (ii) related organizations       3a(ii)       X         b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?       3b       3b         4 Describe in Part XIII the intended uses of the organization's endowment funds.       Part VI       Land, Buildings, and Equipment. See Form 990, Part X, line 10.         Part VI       Land       Cost or other basis (other)       (c) Accumulated depreciation       (d) Book value         1a Land       340,123.       340,123.       340,123.         b Buildings       208,028.208,028.028.0.       0.         c Leasehold improvements       208,028.208,028.0.       0.         d Equipment       4       340,123.0.       340,123.0.         a Cost or Mark 10, 200, 200, 200, 200, 200, 200, 200,	с	Temporarily restricted endowment ▶ 1	2.60 %								
3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:       Yes       No         (i) unrelated organizations       3a(i)       X         (ii) related organizations       3a(ii)       X         b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?       3b       3b         4 Describe in Part XIII the intended uses of the organization's endowment funds.       Part VI       Land, Buildings, and Equipment. See Form 990, Part X, line 10.         Part VI       Land       Cost or other basis (other)       (c) Accumulated depreciation       (d) Book value         1a Land       340,123.       340,123.       340,123.         b Buildings       208,028.208,028.028.0.       0.         c Leasehold improvements       208,028.208,028.0.       0.         d Equipment       4       340,123.0.       340,123.0.         a Cost or Mark 10, 200, 200, 200, 200, 200, 200, 200,		The percentages in lines 2a, 2b, and 2c show	uld equal 100%.								
(i)       unrelated organizations       3a(i)       X         (ii)       related organizations       3a(ii)       X         b       If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?       3b       3b         4       Describe in Part XIII the intended uses of the organization's endowment funds.       3b       3b         Part VI       Land, Buildings, and Equipment. See Form 990, Part X, line 10.       (c) Accumulated depreciation       (d) Book value         1a       Land       340,123.       340,123.       340,123.         b       Buildings       208,028.       208,028.       0.         c       Leasehold improvements       208,028.       208,028.       0.         d       Equipment       340,123.       340,123.         e       Other       340,123.       340,123.	3a			ation that are held	and administe	ered for th	ne organiz	ation			
(i) unrelated organizations       3a(i)       X         (ii) related organizations       3a(ii)       X         3a(ii)       3a(ii)       X         3a(ii)       X       3a(ii)       X         3b       3b       3b       3b       3b         4       Describe in Part XIII the intended uses of the organization's endowment funds.       3b       3b       3b         Part VI       Land, Buildings, and Equipment. See Form 990, Part X, line 10.       (c) Accumulated depreciation       (d) Book value         1a       Land       340,123.       340,123.       340,123.         b       Buildings       208,028.       208,028.       0.         c       Leasehold improvements       208,028.       208,028.       0.         d       Equipment       4       340,123.       340,123.         b       Buildings       340,123.       340,123.       340,123.         c       Leasehold improvements       208,028.       208,028.       0.         c       Leasehold improvements       340,123.       340,123.         d       Equipment       340,123.       340,123.         e       Other       340,123.       340,123. <td></td> <td>by:</td> <td>Ũ</td> <td></td> <td></td> <td></td> <td>U U</td> <td></td> <td><u>ا</u></td> <td>Yes No</td>		by:	Ũ				U U		<u>ا</u>	Yes No	
(ii) related organizations       3a(ii)       X         b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?       3b       3c		-									
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?										X	
4 Describe in Part XIII the intended uses of the organization's endowment funds.         Part VI       Land, Buildings, and Equipment. See Form 990, Part X, line 10.         Description of property       (a) Cost or other basis (other)       (b) Cost or other basis (other)       (c) Accumulated depreciation         1a       Land       340,123.       340,123.         b       Buildings       208,028.       208,028.       0.         c       Leasehold improvements       208,028.       208,028.       0.         d       Equipment       4       40.00000000000000000000000000000000000	b										
Part VI       Land, Buildings, and Equipment. See Form 990, Part X, line 10.         Description of property       (a) Cost or other basis (investment)       (b) Cost or other basis (other)       (c) Accumulated depreciation         1a       Land       340,123.       340,123.         b       Buildings       208,028.       208,028.         c       Leasehold improvements       0.         d       Equipment       0.         e       Other       0.         Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)       340,123.											
basis (investment)       basis (other)       depreciation         1a Land       340,123.       340,123.         b Buildings       208,028.       208,028.         c Leasehold improvements       208,028.       208,028.         d Equipment	Pa										
1a Land       340,123.       340,123.         b Buildings       208,028.       208,028.       0.         c Leasehold improvements       208,028.       208,028.       0.         d Equipment       4       4       4       4         e Other       4       4       4       4       4         Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)       340,123.       340,123.		Description of property	(a) Cost or o	ther (b) Cos	st or other	(c) Ac	cumulate	d	(d) Book	value	
b Buildings       208,028.208,028.0.0.         c Leasehold improvements       208,028.208,028.0.         d Equipment       0         e Other       0         Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)       340,123.			basis (investn	nent) basis	s (other)	dep	preciation				
b Buildings       208,028.208,028.0.         c Leasehold improvements       208,028.208,028.0.         d Equipment       4         e Other       4         Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)       340,123.	1a	Land		34	40,123.				340	,123.	
c Leasehold improvements       208,028.       208,028.       0.         d Equipment											
d Equipment				2	08,028.	2	208,02	28.		0.	
e Other											
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)											
				X, column (B), line	10(c).)				340	,123.	
		<u> </u>	· · · · ·					Schedule			

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NORTHERN K		IVERS	SITY			
Schedule D (Form 990) 2012 FOUNDATION				23	8-7116528 <sub>Pa</sub>	age <b>3</b>
Part VII Investments - Other Securities.						
(a) Description of security or category (including name of security)	(b) Book valu	e	(c) Method of v	aluation: Cost or en	d-of-year market value	е
(1) Financial derivatives						
(2) Closely-held equity interests						
(3) Other						
(A) INVESTMENTS IN LIMITED	14 024					
(B) PARTNERSHIPS	14,034,5	576.	END-OF-Y	EAR MARKET	' VALUE	
(C)						
(D)						
(E)						
(F)						
(G)						
(H)						
(1)						
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.)	14,034,5					
Part VIII Investments - Program Related.						
(a) Description of investment type	(b) Book valu	e	(c) Method of v	aluation: Cost or en	d-of-year market value	e
(1)						
(2)						
(3)						
(4)						
(5)						
(6)						
(7)						
(8)						
(9)						
(10)						
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.)						
Part IX Other Assets. See Form 990, Part X, lin						
(a	a) Description				(b) Book value	
(1)						
(2)						
(3)						
(4)						
(5)						
(6)						
(7)						
(8)						
(9)						
(10)						
Total. (Column (b) must equal Form 990, Part X, col. (B) I		<u></u>				
Part X Other Liabilities. See Form 990, Part X	(, line 25.	_				
1.(a) Description of liability		(b	) Book value			
(1) Federal income taxes						
(2) ANNUITIES PAYABLE			190,749.			
(3) FUNDS HELD IN TRUST FOR	NORTHERN					
(4) KENTUCKY UNIVERSITY		11	.,276,927.			
(5)						
(6)						
(7)						
(8)						
(9)						
(10)						
(11)						
Total. (Column (b) must equal Form 990, Part X, col. (B) I	ine 25.) 🕨	11	.,467,676.			
2. FIN 48 (ASC 740) Footnote. In Part XIII. provide the t	ext of the footnote to	the ora	anization's financial	statements that re	ports the organization	n's

FIN 48 (ASC 740) Footnote. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII.

		NORTHERN KENTUCKY	UNIVERSITY			
Sche	dule D (Form 990) 2012	FOUNDATION, INC.		23-	7116528	Page <b>4</b>
Pa	t XI Reconciliation o	of Revenue per Audited Finar	ncial Statements With Revenue per			0
1	Total revenue, gains, and oth	her support per audited financial state	ments	. 1		
2		but not on Form 990, Part VIII, line 12:				
а	Net unrealized gains on inve	estments	2a			
b		f facilities				
с		nts				
d						
е	Add lines 2a through 2d			. 2e		
3	Subtract line 2e from line 1			3		
4		990, Part VIII, line 12, but not on line <b>1</b>				
а	Investment expenses not inc	cluded on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)		4b			
с	Add lines 4a and 4b			. 4c		
5	Total revenue. Add lines 3 ar	nd <b>4c.</b> (This must equal Form 990, Par	t I, line 12.)	. 5		
Pa	rt XII Reconciliation o	of Expenses per Audited Fina	ncial Statements With Expenses p	er Retu	ırn	
1	Total expenses and losses p	per audited financial statements		. 1		
2	Amounts included on line 1 I	but not on Form 990, Part IX, line 25:				
а	Donated services and use of	f facilities				
b	Prior year adjustments		2b			
с	Other losses					
d						
е	Add lines 2a through 2d			. 2e		
3	Subtract line 2e from line 1			. 3		
4		990, Part IX, line 25, but not on line <b>1</b> :				
а	Investment expenses not inc	cluded on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)		4b			
с	Add lines 4a and 4b			. 4c		
			art I, line 18.)	. 5		
Pa	t XIII Supplemental In	Iformation				
Com	plete this part to provide the o	descriptions required for Part II, lines 3	3, 5, and 9; Part III, lines 1a and 4; Part IV, lines	s 1b and	2b; Part V, line	4; Part
X, lin	e 2; Part XI, lines 2d and 4b; a	and Part XII, lines 2d and 4b. Also com	nplete this part to provide any additional inform	nation.		

# PART V, LINE 4: PRIMARILY TO BE USED FOR STUDENT FINANCIAL AID AND

#### SCHOLARSHIPS

PART X, LINE 2: THE FOUNDATION HAS EVALUATED TAX POSITIONS TAKEN ON

ALL INCOME TAX RETURNS THAT REMAIN OPEN TO EXAMINATION BY THE RESPECTIVE

TAXING AUTHORITIES (THOSE RETURNS FILED FOR THE YEARS 2009 THROUGH 2012)

AND DOES NOT BELIEVE THERE ARE ANY UNCERTAIN POSITIONS ON THOSE RETURNS

THAT REQUIRE RECOGNITION OR DISCLOSURE IN THE FINANCIAL STATEMENTS.

Schedule D (Form 990) 2012

SCHEDULE F	Stateme	nt of Act	ivities Outside the Ur	nited Sta	ates	OMB No. 1545-0047
(Form 990)		Complete if the	organization answered "Yes" to For		ates	2012
Department of the Treasury Internal Revenue Service			Part IV, line 14b, 15, or 16. orm 990. ▶ See separate instructio	ns.		Open to Public Inspection
Name of the organization					Employer id	lentification number
NORTHERN KENTUC	•				23-711	
Part I General Info	rmation on A	Activities Ou	tside the United States. Comple	ete if the orgar	nization answe	red "Yes"
to Form 990, Par						
-	-		ds to substantiate the amount of its gra the selection criteria used to award the			Yes No
2 For grantmakers. Desc United States.	ribe in Part V the	e organization's	procedures for monitoring the use of it	s grants and c	ther assistanc	e outside the
3 Activities per Region. (T	he following Par	t I, line 3 table c	an be duplicated if additional space is r	needed.)		1
(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	is a pro describe	ivity listed in (d gram service, e specific type ce(s) in region	expenditures
EUROPE (INCLUDING ICELAND & GREENLAND)						
-	0	0	INVESTMENTS			7,137,409.
EAST ASIA AND THE						
PACIFIC -	0	0	INVESTMENTS			7,780,856.
SOUTH AMERICA-	0	0	INVESTMENTS			1,213,581.
3 a Sub-total	0	0				16,131,846.
<b>b</b> Total from continuation						
sheets to Part I	0	0				0.
c Totals (add lines 3a and 3b)	0	0				16,131,846.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2012

Schedule F (Form 990) 2012

# FOUNDATION, INC.

23-7116528

Page 2

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	<b>(b)</b> IRS code section and EIN (if applicable)	(c) Region	<b>(d)</b> Purpose of grant	<b>(e)</b> Amount of cash grant	<b>(f)</b> Manner of cash disbursement	<b>(g)</b> Amount of non-cash assistance	<b>(h)</b> Description of non-cash assistance	<b>(i)</b> Method of valuation (book, FMV, appraisal, other)
2 Enter total number of	recipient organizatio	ns listed above that are	recognized as charities by the	foreign country,	, recognized as tax-e	xempt by		1
the IRS, or for which t	he grantee or counse	el has provided a sectior	n 501(c)(3) equivalency letter					
						►		

Schedule F	(Form 990)	2012
	1 0111 330	12012

### FOUNDATION, INC.

#### 23-7116528

#### Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	<b>(b)</b> Region	(c) Number of recipients	(d) Amount of cash grant	<b>(e)</b> Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	<b>(h)</b> Method of valuation (book, FMV, appraisal, other)

Schedule F (Form 990) 2012

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FOUNDATION, INC.

23-7116528	Page 4
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Sched	dule F (Form 990) 2012 FOUNDATION, INC.	23-7116528	Page 4
Parl	t IV   Foreign Forms		
1	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)	Yes	X No
2	Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)	Yes	X No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations. (see Instructions for Form 5471)	Yes	X No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)	Yes	X No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships. (see Instructions for Form 8865)	·····Yes	X No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to file Form 5713, International Boycott Report. (see Instructions for Form 5713)	Yes	X No

Schedule F (Form 990) 2012

SCHEDULE G (Form 990 or 990-EZ) Supplemental Information Regarding Fundraising or Gaming Activities Complete if the organization answered "Yes" to Form 990, Part IV, lines 17,	18 or	- 10	OMB No. 1545-0047								
	or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.										
Name of the organization NORTHERN KENTUCKY UNIVERSITY	ration NORTHERN KENTUCKY UNIVERSITY Employe										
FOUNDATION, INC.       23-7116528         Part I       Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not											
Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-E2 filers are not required to complete this part.											
<ul> <li>1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.</li> <li>a Mail solicitations</li> <li>b Internet and email solicitations</li> <li>c Phone solicitations</li> <li>d In-person solicitations</li> <li>g Special fundraising events</li> <li>d In-person solicitations</li> <li>2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?</li> <li>b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.</li> </ul>											
(i) Name and address of individual or entity (fundraiser)       (ii) Activity       (iii) Did fundraiser have custody or control or contro	tò (or fi	Amount paid r retained by undraiser ed in col. <b>(i)</b>									
Yes No											
Total       ▶         3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.											

LHA Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule G (Form 990 or 990-EZ) 2012

### 23-7116528 Page 2

	_	le G (Form 990 or 990 EZ) 2012 FOUNDA				7116528 Page 2					
Pa	art	· ······	•		· · ·						
	_	of fundraising event contributions and g	(a) Event #1	(b) Event #2	(c) Other events	ts greater than \$5,000.					
				5/3RD		(d) Total events					
				ENTERPRENEUR	9	(add col. <b>(a)</b> through					
0			(event type)	(event type)	(total number)	col. <b>(c)</b> )					
Jevenue	4	Gross receipts	235,981.	77,250.	199,213.	512,444.					
Å	Ι.			,====							
	2	Less: Contributions	232,261.	62,325.	145,728.	440,314.					
	3	Gross income (line 1 minus line 2)	3,720.	14,925.	53,485.	72,130.					
	4	Cash prizes									
S	5	Noncash prizes		833.	1,464.	2,297.					
bense	6	Rent/facility costs	4,959.	2,105.	7,795.	14,859.					
Direct Expenses	7	Food and beverages	79.	25,920.	53,062.	79,061.					
	8	Entertainment									
	9	Other direct expenses		23,505.	69,119.	94,441.					
	10				►	( 190,658,					
		Net income summary. Combine line 3, colun				-118,528.					
Pa	art	<ul> <li>Gaming. Complete if the organization \$15,000 on Form 990-EZ, line 6a.</li> </ul>	answered "Yes" to Form	990, Part IV, line 19, or r	eported more than						
		\$15,000 off Form 990-EZ, life 6a.		(b) Pull tabs/instant		(d) Total gaming (add					
Revenue			(a) Bingo	bingo/progressive bingo	(c) Other gaming	col. (a) through col. (c)					
Reve											
<u> </u>	1	Gross revenue									
ses	2	Cash prizes									
Expenses	3	Noncash prizes									
Direct	4	Rent/facility costs									
	5	Other direct expenses									
	6	Volunteer labor	└── Yes % └── No	└── Yes % └── No	└── Yes % └── No						
	<ul> <li>7 Direct expense summary. Add lines 2 through 5 in column (d)</li> </ul>										
	8										
9		ter the state(s) in which the organization oper-									
		the organization licensed to operate gaming a				Yes No					
b	) I† "	'No," explain:									
		ere any of the organization's gaming licenses i			/ear?	Yes No					
b	) If "	'Yes," explain:									
	_										

232082 01-07-13

Schedule G (Form 990 or 990-EZ) 2012

Sch	nedule G (Form 990 or 990-EZ) 2012 FOUNDATION, INC. 23-7	116	5 <u>528</u>	Page 3
11	Does the organization operate gaming activities with nonmembers?		Yes	No
	Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed			
	to administer charitable gaming?	.Ш	Yes	└── No
13	Indicate the percentage of gaming activity operated in:			
a	a The organization's facility	13a	-	%
	o An outside facility	13b		%
14	Enter the name and address of the person who prepares the organization's gaming/special events books and records:			
	Name			
	Address			
15a	a Does the organization have a contract with a third party from whom the organization receives gaming revenue?		Yes	🗌 No
ł	o If "Yes," enter the amount of gaming revenue received by the organization <b>&gt;</b> \$ and the amount			
ĸ	of gaming revenue retained by the third party $\triangleright$ \$			
	c) If "Yes," enter name and address of the third party:			
-	· · · · · · · · · · · · · · · · · · ·			
	Name			
	Address			
16	Gaming manager information:			
	Name			
	Gaming manager compensation 🕨 \$			
	Description of services provided 🕨			
	Director/officer Employee Independent contractor			
17	Mandatory distributions:			
a	a Is the organization required under state law to make charitable distributions from the gaming proceeds to			
	retain the state gaming license?	. 📖	Yes	No No
k	• Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the			
_	organization's own exempt activities during the tax year 🕨 \$			
Pa	<b>art IV</b> Supplemental Information. Complete this part to provide the explanations required by Part I, line 2b, columns (iii)			
	lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information	(see	Instruc	tions).

SCHEDULE I								OMB No. 15	45-0047
(Form 990)				d Other Assistance	•	,		201	12
				ts, and Individuals				20	12
Department of the Treasury Internal Revenue Service		Comp	lete if the organization	on answered "Yes" Attach to For	-	rt IV, line 21 or 22.		Open to I Inspec	
Name of the organizat	ion NORTHERN FOUNDATIO		UNIVERSITY					Employer identification 23-711	
Part I General II	nformation on Grants a	-						23-711	0520
	zation maintain records		e amount of the grants	s or assistance the	arantees' eliaibilit	v for the grants or as	sistance and the selec	tion	
	award the grants or assi								No No
2 Describe in Part	IV the organization's pro	ocedures for moni	toring the use of grant	t funds in the Unite	d States.				
	d Other Assistance to					anization answered "\	/es" to Form 990, Part	IV, line 21, for any	
	hat received more than						,	· · · ·	
	ddress of organization vernment	<b>(b)</b> EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	<b>(f)</b> Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of gr or assistance	
								SUBGRANT EXPENSES	PAID
NORTHERN KENTUCKY	UNIVERSITY							FOR THE BENEFIT OF	1
NUNN DRIVE								NORTHERN KENTUCKY	
HIGHLAND HEIGHTS	, KY 41099	61-1010545	501(C)(3)	1,895,441.	0.			UNIVERSITY (NKU) T	O HELP
2 Enter total numb	per of section 501(c)(3) a	I Ind government or	I raanizations listed in th	l ne line 1 table	I	<u> </u>	I	<u> </u>	1.
	per of other organization							······ •	<u>+</u> •
	Reduction Act Notice							Schedule I (Form 9	90) (2012)
			LUMN (H) DE	SCRIPTION	S			201100000000000000000000000000000000000	, ( /)

	NORTHERN	KENTUCKY	UNIVERSITY
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FOUNDATION, INC.

Schedule I (Form 990) (2012)

#### **W**±±±

23-7116528

Page 2

Part III Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	<b>(b)</b> Number of recipients	<b>(c)</b> Amount of cash grant	(d) Amount of non- cash assistance	<b>(e)</b> Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
Part IV Supplemental Information. Complete this part to provide	de the informatio	on required in Part I,	line 2, Part III, colum	n (b), and any other additional ir	formation.

SCHEDULE I, PART I, LINE 2: THE FOUNDATION MONITORS THE USE OF THE GRANT

FUNDS TO NKU THROUGH REVIEW OF INVOICES PAID BY NKU. THE FOUNDATION IS ALSO

ABLE TO MONITOR THE PROGRESS OF NKU'S PROJECTS.

PART II, LINE 1, COLUMN (H):

NAME OF ORGANIZATION OR GOVERNMENT: NORTHERN KENTUCKY UNIVERSITY

(H) PURPOSE OF GRANT OR ASSISTANCE: SUBGRANT EXPENSES PAID FOR THE

BENEFIT OF NORTHERN KENTUCKY UNIVERSITY (NKU) TO HELP PROVIDE FUNDING FOR

NKU FACULTY POSITIONS, CONSTRUCTION PROJECTS AND VARIOUS OTHER OPERATING

	Supplemental	Information
Schedule I	(Form 990)	FOUND

# NORTHERN KENTUCKY UNIVERSITY FOUNDATION, INC.

#### EXPENDITURES.

## **Noncash Contributions**

OMB No. 1545-0047

Employer identification number 23-7116528

2012

Open	to	Public	

Inspection

Department of	the Treasury
Internal Revenu	le Service

# Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.

Attach to Form 990.

Name of the organization	NORTHERN KENTUCKY UNIVERSITY
	FOUNDATION, INC.
Part I Types of	Property

		(a)	(b)	(c)		(d)			
		Check if	Number of	Noncash contrib	ution	Method of de		ina	
		applicable	contributions or	amounts reporte	don	noncash contribu		•	s
			items contributed	Form 990, Part VIII,	line 1g				
	t - Works of art								
	t - Historical treasures								
3 Art	t - Fractional interests								
<b>4</b> Bo	oks and publications								
	othing and household goods								
<b>6</b> Ca	rs and other vehicles								
<b>7</b> Bo	ats and planes								
8 Inte	ellectual property								
	curities - Publicly traded								
	curities - Closely held stock								
	curities - Partnership, LLC, or								
	ist interests								
	curities - Miscellaneous	Х	1	200,6	30.	FAIR MARKET	' VA	LUE	
	alified conservation contribution -								
	storic structures								
	alified conservation contribution - Other								
	al estate - Residential								
	Real estate - Commercial								
	Taxidermy								
	storical artifacts								
	ientific specimens								
	cheological artifacts								
	her ()								
	her ()								
27 Oth	her ()								
28 Otł	her 🕨 ( )								
<b>29</b> Nu	imber of Forms 8283 received by the organi	zation durin	g the tax year for c	ontributions					
for	which the organization completed Form 82	83, Part IV,	Donee Acknowled	gement	29				
								Yes	No
<b>30a</b> Du	ring the year, did the organization receive b	y contributio	on any property rep	oorted in Part I, lines	1-28 tha	t it must hold for			
at I	least three years from the date of the initial	contribution	, and which is not	required to be used	for exem	pt purposes for			
the	e entire holding period?						30a		Х
b lf "	Yes," describe the arrangement in Part II.								
	bes the organization have a gift acceptance	policy that r	equires the review	of any non-standard	contribu	itions?	31		Х
	bes the organization hire or use third parties								
	ntributions?		-				32a		Х
	b If "Yes," describe in Part II.								
	he organization did not report an amount in	5							
	he organization did not report an amount in scribe in Part II.	column (c) f	or a type of prope	ty for which column	(a) is ch	ecked,			

SCF	IEDU	JLE	0

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service Name of the organization Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information. Attach to Form 990 or 990-EZ. 2012 Open to Public Inspection

OMB No. 1545-0047

NORTHERN KENTUCKY UNIVERSITY FOUNDATION, INC.

Employer identification number 23-7116528

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

OTHER SUPPORT PROGRAMS

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

FOR THE ADVANCEMENT AND BENEFIT OF NORTHERN KENTUCKY UNIVERSITY.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

INSTITUTIONAL SUPPORT

EXPENSES \$ 395,814. INCLUDING GRANTS OF \$ 98,528. REVENUE \$ 2,000.

ACADEMIC SUPPORT

EXPENSES \$ 530,096. INCLUDING GRANTS OF \$ 189,392. REVENUE \$ 0.

LIBRARIES

EXPENSES \$ 14,079. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

STUDENT SERVICES

EXPENSES \$ 514,841. INCLUDING GRANTS OF \$ 395,636. REVENUE \$ 3,030.

UNIVERSITY FACILITIES & EQUIPMENT ACQUISITION

EXPENSES \$ 188,894. INCLUDING GRANTS OF \$ 143,441. REVENUE \$ 157,911.

RESEARCH

EXPENSES \$ 20,835. INCLUDING GRANTS OF \$ 15,218. REVENUE \$ 0.

FORM 990, PART VI, SECTION B, LINE 11: THE 990 DRAFT IS REVIEWED BY THE

Schedule O (Form 990 or 990-E	Page <b>2</b>						
Name of the organization NC FC	Employer identification number 23-7116528						
FINANCE AND AUDIT COMMITTEE CHAIRS, AS WELL AS EMAILED TO ALL VOTING							

MEMBERS FOR APPROVAL BEFORE A FINAL VERSION IS FILED.

FORM 990, PART VI, SECTION B, LINE 12C: THE OFFICERS AND BOARD MEMBERS ARE REQUIRED TO DISCLOSE ANY CONFLICTS OF INTEREST. THIS POLICY IS MONITORED BY THE MEMBERSHIP COMMITTEE CHAIRPERSON IN CONJUNCTION WITH THE EXECUTIVE COMMITTEE.

FORM 990, PART VI, SECTION B, LINE 15: THE NKU FOUNDATION EXECUTIVE COMMITTEE DETERMINES EXECUTIVE COMPENSATION UPON RECOMMENDATION OF THE NKU FOUNDATION PERSONNEL COMMITTEE WHICH WORKS IN CONJUNCTION WITH NKU HUMAN RESOURCES.

FORM 990, PART VI, SECTION C, LINE 19: FORM 990 IS MADE AVAILABLE UPON REQUEST AND ONLINE VIA THE WEBSITE. THE GOVERNING DOCUMENTS, FORM 1023 AND CONFLICT OF INTEREST POLICY ARE MADE AVAILABLE UPON REQUEST AND AT THE DISCRETION OF THE BOARD OF DIRECTORS AND MANAGEMENT.

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

CHANGE IN ANNUITIES

11,422.

FORM 990, PART XII, LINE 2C

THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS ASSUMES RESPONSIBILITY

FOR OVERSIGHT OF THE AUDIT OF THE FOUNDATION'S FINANCIAL STATEMENTS AND

THE SELECTION OF THE INDEPENDENT AUDITOR. THIS PROCESS HAS NOT CHANGED

FROM THE PRIOR YEAR.

Department of the Treasury Internal Revenue Service	Related Organizations a ete if the organization answered "Ye ► Attach to Form 990.		ne 33, 34, 35, 36, or	37.	OMB No. 1545-0047 2012 Open to Public Inspection							
Name of the organization NORTHERN KENTU	JCKY UNIVERSITY				Employer identification number 23-7116528							
Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)												
(a)	(b)	(c)	(d)	(e)	(f)							
Name, address, and EIN (if applicable) of disregarded entity	Primary activity	Legal domicile (state or foreign country)	r Total income	End-of-year as	sets Direct controlling entity							
NKUF PROPERTIES 1, LLC - 23-7116528	REAL ESTATE HOLDING COMPANY				NORTHERN KENTUCKY							
FOUNDERS HALL SUITE 118	FOR EXEMPT ORGANIZATION				UNIVERSITY FOUNDATION,							
HIGHLAND HEIGHTS, KY 41099	PROPERTY	KENTUCKY	-233,1	25. 177,	609.INC.							
NKUF PROPERTIES 2, LLC - 23-7116528	REAL ESTATE HOLDING COMPANY				NORTHERN KENTUCKY							
FOUNDERS HALL SUITE 118	FOR EXEMPT ORGANIZATION				UNIVERSITY FOUNDATION,							
HIGHLAND HEIGHTS, KY 41099	PROPERTY	KENTUCKY		0. 40,	738.INC.							
NKUF PROPERTIES 3, LLC - 23-7116528	REAL ESTATE HOLDING COMPANY				NORTHERN KENTUCKY							
FOUNDERS HALL SUITE 118	FOR EXEMPT ORGANIZATION				UNIVERSITY FOUNDATION,							
HIGHLAND HEIGHTS, KY 41099	PROPERTY	KENTUCKY	-7,1	76.	221.INC.							
NKUF PROPERTIES 4, LLC - 23-7116528	REAL ESTATE HOLDING COMPANY				NORTHERN KENTUCKY							
FOUNDERS HALL SUITE 118	FOR EXEMPT ORGANIZATION				UNIVERSITY FOUNDATION,							
HIGHLAND HEIGHTS, KY 41099	PROPERTY	KENTUCKY		0. 104,	614.INC.							
Part II         Identification of Related Tax-Exempt Organizations during the tax year.)	Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)											
(a)	(b)	(c)	(d)	(e)	(f) (g) Section 512(b)(13)							

(a) Name, address, and EIN of related organization	<b>(b)</b> Primary activity	(c) Legal domicile (state or foreign country)	<b>(d)</b> Exempt Code section	<b>(e)</b> Public charity status (if section	<b>(f)</b> Direct controlling entity	cont	<b>g)</b> 512(b)(13) rolled ity?
				501(c)(3))		Yes	No

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

NORTHERN KENTUCKY UNIVERSITY FOUNDATION, INC.

Schedule R (Form 990)

Part I Continuation of Identification of Disregarded Entities

<b>(a)</b> Name, address, and EIN of disregarded entity	<b>(b)</b> Primary activity	<b>(c)</b> Legal domicile (state or foreign country)	<b>(d)</b> Total income	<b>(e)</b> End-of-year assets	<b>(f)</b> Direct controlling entity
NKUF PROPERTIES 5, LLC - 23-7116528 FOUNDERS HALL SUITE 118 HIGHLAND HEIGHTS, KY 41099	REAL ESTATE HOLDING COMPANY FOR EXEMPT ORGANIZATION PROPERTY	KENTUCKY	0.		NORTHERN KENTUCKY UNIVERSITY FOUNDATION, INC.
NKUF PROPERTIES 6, LLC - 23-7116528 FOUNDERS HALL SUITE 118 HIGHLAND HEIGHTS, KY 41099	REAL ESTATE HOLDING COMPANY FOR EXEMPT ORGANIZATION PROPERTY	KENTUCKY	-81,443.		NORTHERN KENTUCKY UNIVERSITY FOUNDATION,
NKUF PROPERTIES 7, LLC - 23-7116528 FOUNDERS HALL SUITE 118 HIGHLAND HEIGHTS, KY 41099	REAL ESTATE HOLDING COMPANY FOR EXEMPT ORGANIZATION PROPERTY	KENTUCKY	01,443.		NORTHERN KENTUCKY UNIVERSITY FOUNDATION, INC.
	-				
	-				

### Schedule R (Form 990) 2012 FOUNDATION, INC.

### 23-7116528 Page 2

Part III Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a)	(b)	(c)	(d)	(e)	(f)	(g)	(1	h)	(i)	(j)	(k)
Name, address, and EIN of related organization	Primary activity	Legal domicile (state or foreign	Direct controlling entity	Predominant income (related, unrelated, excluded from tax under	Share of total income	Share of end-of-year assets	Dispro ate allo	cations?	Code V-UBI amount in box 20 of Schedule	mana partn	
		country)		sections 512-514)			Yes	No		Yes	No
	_										
	_										
	_										
	_										
	_										
	_										
	_										
	_										
	_										

Part IV Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

<b>(a)</b> Name, address, and EIN of related organization	<b>(b)</b> Primary activity	(c) Legal domicile (state or foreign	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	<b>(f)</b> Share of total income	<b>(g)</b> Share of end-of-year assets	<b>(h)</b> Percentage ownership	(i Sec 512(b contr enti	tion 5)(13) folled ity?
		country)				400010		Yes	No

Schedule R (Form 990) 2012 FOUNDATION, INC.

Par	Transactions With Related Organizations (Complete if the organization ans	swered "Yes" to Forn	n 990, Part IV, line 34, 35b	, or 36.)							
Not	e. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.					Yes	No				
1	During the tax year, did the organization engage in any of the following transaction	ns with one or more r	elated organizations listed	l in Parts II-IV?							
а	Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity		-		1a						
b	Gift, grant, or capital contribution to related organization(s)				1b						
с	c Gift, grant, or capital contribution from related organization(s)										
	Loans or loan guarantees to or for related organization(s)										
	Loans or loan guarantees by related organization(s)										
f	f Dividends from related organization(s)										
	g Sale of assets to related organization(s)										
h	Purchase of assets from related organization(s)				1h						
i	Exchange of assets with related organization(s)										
j	Lease of facilities, equipment, or other assets to related organization(s)				1j						
k	Lease of facilities, equipment, or other assets from related organization(s)				1k						
1	Performance of services or membership or fundraising solicitations for related orga										
m	Performance of services or membership or fundraising solicitations by related orga										
	<ul> <li>n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)</li> </ul>										
	Sharing of paid employees with related organization(s)										
р	Reimbursement paid to related organization(s) for expenses				1p						
q					1q						
r	Other transfer of cash or property to related organization(s)				1r						
	Other transfer of cash or property from related organization(s)										
2	If the answer to any of the above is "Yes," see the instructions for information on v	who must complete t	his line, including covered	relationships and transaction thresholds.							
	(a) Name of other organization	<b>(b)</b> Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount ir	volved						
<u>(1)</u>											
(2)											
(3)											
(4)											
(5)											
(6)											

Schedule R (Form 990) 2012 FOUNDATION, INC.

### Part VI Unrelated Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

<b>(a)</b> Name, address, and EIN of entity	<b>(b)</b> Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under section 512-514)	(e) Are all partners se 501(c)(3) orgs.?		<b>(f)</b> Share of total income	(g) Share of end-of-year assets		n) opor- nate tions? No	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes NO		<b>(k)</b> Percentage ownership

Schedule R (Form 990) 2012

Schedule R	(Form 990) 2012	FOUNDATION,	INC.	23-7116528 Page 5
Part VII	(Form 990) 2012 Supplemental Infor	mation		
	Complete this part to pro	vide additional informatic	on for responses to questions on Schedule R (see instruc	ctions)
	Complete this part to pro		on for responses to questions on ochequie in (see institut	
_				
-				

Cand proxy tax under section (6033(e))         Cand proxy tax under section (603)         Cand proxy tax under section (603) <thcand (603)<="" proxy="" section="" tax="" th="" under=""> <thc< th=""><th>Form <b>990-T</b></th><th>  6</th><th>Exempt Organization Bus</th><th>sine</th><th>ss Income T</th><th>ax Retur</th><th>n  </th><th>OMB No. 1545-0687</th></thc<></thcand>	Form <b>990-T</b>	6	Exempt Organization Bus	sine	ss Income T	ax Retur	n	OMB No. 1545-0687
A       Tenders to an address of the process of the proces of the proces of the process of the proces							112	Open to Public Inspection for
NORTHERN KENTUCKY UNIVERSITY         Colspan="2">Colspan="2"Colspan="		For c				UN 30, 20		
B         Percent under sector         Parit         FOUNDATION, INC.         23-7116528           III 501c(3)         Total (No.         LUCAS         ADMINESTRATIVE         CENTRE NO. 221           III 501c(3)         Total (No.         LUCAS         ADMINESTRATIVE         CENTRE NO. 221           III 501c(3)         File (No.         S259(a)         S259(a)         S259(a)         S259(a)           III 501c(3)         File (No.         S259(a)         S259(a)         S259(a)         S259(a)           III 501c(3)         File (No.         S259(a)         S259(a)         S259(a)           III 501c(3)         File (No.         S259(a)         S259(a)         S259(a)           III 501c(3)         File (No.         S259(c)         View (No.         S259(c)           III 501c(3)         File (No.         S259(c)         View (No.         S259(c)           III 501c(3)         File (No.         S259(c)         View (No.         S259(c)           IIII 501c(3)         File (No.         S259(c)         View (No.         S259(c)           IIII 501c(3)         File (No.         S259(c)         View (No.         S259(c)           IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII		ł					(Empl	oyees' trust, see
Image: Solution (13, 1) <sup>The Thermal extend read read on one sails on 1 a P. D. tox, sea instructions.           <sup>The Thermal extend read on the same extender of the thermal extender of the the</sup></sup>	B Exempt under section	Print					2	3-7116528
□ dots	•	or		x, see in	structions.		E Unrela	ated business activity codes
	408(e) 220(e	) Iype					(566 1	
2 Book value of all assets   Forup exemption number (see instructions)          Image: Construction          501(c) trust          401(a) trust          000000000000000000000000000000000000	408A 530(a	.)					1	
al end of year 96, 678, 679.			-	410	99		525	990
96, 678, 679.       Control of particular gradies       Control of particular sectors       Control of particular sectors         11 Description are of particular primary unrelated business activity. ► INCOME FROM INVESTMENT PARTINERSHIPS       Vise: XI No         12 Unright task year, was the corporation a subsidiary on a patient subsidiary controlled group?       Vise: XI No         14 rese: where the name and identifying number of the parent corporation. ►       Intervise and identifying number of the parent corporation. ►         13 the tooks are in a cont of LONIN BATLEY       Isophone number       859-572-6457         14 tooks the name and identifying number of the parent corporation. ►       1       1         2 cost of goods soid (Schedule A, line 7)       2       2         2 cost of goods soid (Schedule A, line 7)       2       2         3 cores prior LS (Schedule A, line 7)       4       4         4 a cost of goods soid (Schedule B)       4a       4a         5 income (loss) from partnerships and S corporations (attach statement)       5       4. 081.         6 Interset, namise, royaltes, and rests from controlled organizations (Sch. F).       6       4a         9 Investment income (Schedule E)       10       10       10         14 Advertising income (Schedule I)       110       10       10         15 Advertising income (Schedule I)       110       1				▶				
IP Describe the organization's primary unrelated business activity.         INCOME         FROM         INVESTMENT         PARTNERSHIPS           IDuring the taxy ear, was the corporation a subsidiary on an affiliated group or a parent subsidiary controlled group?         Image: State of the parent corporation.         Image: State of the parent corparent corporation.         Image: State of	•	G Chec	k organization type 🕨 [ 🗶 ] 501(c) corporatio	n L	] 501(c) trust	401(a) trust	L	Other trust
During the tax year, yeas the corporation a subsidiary in an affiliated group or a parent-subsidiary controlled group?         ▶ Yes         ¥ No           If the books are in care of ▶ JOHN BATLEY         Telephone number ▶ 859–572–6457           Part II         Unrelated Trade or Business Income         (A) Income         (B) Expenses         (C) Net           I fores receipts or alles         c Batance         1         (D) Net         (D) Net         (D) Net           I fores receipts or alles         c Batance         1         (D) Net         (D) Net         (D) Net           I fores receipts or alles         c Cast of goods of (Schedule A, Ine 7)         4         (D) Net         (D) Net         (D) Net           I const (Schedule A, Ine 7)         4         (D) Net         (D) Net         (D) Net         (D) Net         (D) Net           I const (Schedule D)         4         (D) Net         (D) Net <t< td=""><td></td><td>on's nrim</td><td>ary unrelated business activity <b>TNCOME</b></td><td>FRO</td><td>M INVESTMEN</td><td>T PARTNE</td><td>RSHT</td><td>PS</td></t<>		on's nrim	ary unrelated business activity <b>TNCOME</b>	FRO	M INVESTMEN	T PARTNE	RSHT	PS
II "Yes," enter the name and identifying number of the parent corporation.  I The tools are in care of JOHN BAILEY I the parent corporation.  Part I Unrelated Trade or Business income (A) Income (B) Expenses (C) Net  a Grass receipts or sales b Less returns and allowance c Balance 2 Cost of poods sold (Schedule A, line 7) 3 Grass profit. Subtract line 2 from line 16 4 Gaptal gain net income (datach Schedule A, line 7) 4 Gaptal gain net income (datach Schedule A, line 7) 4 Gaptal gain set income (datach Schedule A, line 7) 4 Gaptal gain net income (datach Schedule A, line 7) 4 Gaptal gain set income (datach Schedule B) 5 Gaptal lines (Schedule C) 6 Gaptal lines (Schedule C) 7 Unrelated debt-financed income (Schedule E) 7 Interest, annulies, royaties, and rents from controlled organizations (Sch. F) 8 Interest, annulies, royaties, and rents from controlled organizations (Sch. F) 8 Interest, annulies, royaties, and rents from controlled organizations (Sch. F) 1 Gaptal lines Stockedule C) 1 Gaptal lines (Schedule C) 1 Gap								
J The books are in cars of ▶ JOHN BAILEY       Telephone number ▶ 859–572-6457         Part II       Unrelated Trade or Business Income       (A) Income       (B) Expenses       (C) Net         Ia Gross receipts or sales					anary controlled group i			
1a       Gross receipts or sales       1c         2       Cost of groods and (Schedule A, line 7)       2         3       Gross profit. Subtract line 2 from line tc       3         4a       Capital gain entincome (attach Schedule D)       4a         5       Income (sols) from Ar197, Part II, line 17) (attach Form 4797)       4b         4a					Telepho	one number 🕨 8	359-	572-6457
b       Less returns and allowances       6 Balance       10         2       Cost of goods soid (Schedule A, line 7)       2         3       Gross portiol. Subtract line 2 from line 1c       3         4a       Capital gain net income (attach Schedule D)       4a         4a       Capital gain (css) (form 4797, Part II, line 17) (attach Form 4797)       4b         4c	Part I Unrelate	ed Tra	de or Business Income		(A) Income	(B) Expense	S	(C) Net
2       Cost of goods sold (Schedule A, line 7)       2       3         3       Gross profil. Subtact line 2 from line 1c       3       3         4       Capital gain net income (attach Schedule D)       44       44         5       Income (loss) (from r479, Part II, line 17) (attach Form 4797)       40       44         6       Capital loss (from r479, Part II, line 17) (attach Form 4797)       40       44         7       40       44       44         9       Income (loss) from patherships and S corporations (attach statement)       6       7         8       Income (loss) from opathers, and rents from controlled organizations (Sch.F).       8       9         9       Investment income (schedule L)       7       4         10       Exploited exempt activity income (Schedule L)       11       11         11       11       11       11       11         12       13       4, 081.       14, 081.         Part III Deductions Not Taken Elsewhere (see instructons of imitations on deductons)       14       15         13       Tacal, Combine lines 3 through 12       13       4, 081.         14       Comprension of others, directors, and trustees (Schedule K)       14       15         15       Bad debts	1 a Gross receipts or sa	ales						
3       Gross profit. Subtract line 2 from line 1c       3       4         4a       4a       4a       4a         5       Incore (incos) from patrix line 17) (attach Form 4797)       4a       4a         5       Incore (incos) from patrix line 17) (attach Form 4797)       4a       4a         5       Incore (incos) from patrix line 37) (attach Form 4797)       4a       4a         5       Incore (incos) from patrix line 37) (attach Form 4797)       4a       4a         5       Incore (incos) from patrix line 37) (attach Form 4797)       4a       4a         6       7       7       7       7         9       10       11       10       10         1       10       11       11       11       11         1       11       11       11       11       11       11         1       11       14       10       11       11       11       11       11       11       11       11       11       11				1c				
4a       Aaa         4a       Aaa         b       Net gain (loss) (form 4797, Part II, Ine 17) (attach form 4797)       4a         4a       Aaa         4a       Aaaa         4a       Aaaaa         4a       Aaaaa         4a       Aaaaa         4a       Aaaaa         4a       Aaaaa         4a       Aaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaa								
b       bt gain (loss) (Form 4797, Part II, line 17) (attach Form 4797)       4b         c       Capital loss deduction for trusts       5       4, 081.       STMT 2       4, 081.         c       Rent income (Schedule E)       6       7								
c Capital loss deduction for trusts       4c       4c         5 income (loss) from partnerships and S corporations (attach statement)       5       4,081.         7 Unrelated deta-financed income (Schedule E)       7								
5       Income (loss) from partnerships and S corporations (attach statement)       5       4,081.       STMT 2       4,081.         6       Interest, annuities, royaties, and rents from controlled organizations (Sch. F).       7       7       7       7         9       Investment income (0schedule E)       7								
6       Rent income (Schedule C)       6       7         7       Unrelated debt-financed income (Schedule E)       7       7         9       Interest, annuities, royaties, and rents from controlled organizations (Sch. F).       8       7         9       Investment income of a section 501(c)(7), (9), or (17) organization (Schedule G)       9       9         10       Exploited exempt activity income (Schedule I)       10       11       11         11       Advertising income (Schedule J)       11       12       11       12         11       Deductions Not Taken Elsewhere (see instructions for limitations on deductions) (except for contributions, deductions must be directly connected with the unrelated business income)       14       15         14       Compensation of officers, and trustees (Schedule K)       14       15         15       Salaries and wages       16       18         16       Bad debts       17       18         17       Bad debts       12       20       0.         18       Interest (attach statement)       18       12       22         20       Ontributions to deferred compensation for limitation rules)       STATEMENT       5       26         21       Less depreciation claimed on Schedule A and elsewhere on return       223					1 0 9 1	CUMU (	<u>,                                     </u>	1 0 9 1
7       Unrelated debt-financed income (Schedule E)       7       8         8       Intrest, annuities, royalities, and rents from controlled organizations (Sch. F).       8       9         9       Investment income of a section 501(c)(7), (9), or (17) organization (Schedule G)       9       9         10       Exploited exempt activity income (Schedule I)       10       9       9         11       Advertising income (Schedule J)       11       9       9       9         11       Advertising income (Schedule J)       11       9				-	4,001.	SIMI 2	2	4,001.
8       Interest, annuities, royalties, and rents from controlled organizations (Sch. F)       8       9         9       Investment income of a section 501(c)(7), (9), or (17) organization (Schedule G).       9       9         10       Exploited exempt activity income (Schedule I).       10       10         11       Advertising income (Schedule J).       11       10       11         12       Other income (see instructions; attach statement)       12       12       13       4, 081.       4, 081.         12       Ital       A, 081.       4, 081.       4, 081.       15       15         14       Compensation of offices, directors, and trustees (Schedule K)       14       15       15       16       16       17       16       17       18       14       16       14       16       14       15       16       16       17       16       16       17       16       16       17       16       16       17       16       16       16       16       16       16       16       16       16       16       16       16       16       17       18       16       16       16       16       16       16       16       16       16       16       16				-				
9       Investment income of a section 501(c)(7), (9), or (17) organization (Schedule G)       9         10       Exploited exempt activity income (Schedule I)       10         11       10       11         12       Other income (see instructions; attach statement)       12         13       Total. Combine lines 3 through 12       13       4, 081.         Part III       Deductions Not Taken Elsewhere (see instructions on deductions) (except for contributions, deductions must be directly connected with the unrelated business income)       14         14       Compensation of officers, directors, and trustees (Schedule K)       14       15         15       6       16       17         16       17       18       19         17       18       19       0         18       19       20       0.         20       0       0.       21       22         21       22       22       22       23         22       19       23       20       0.       0.         21       22       22       23       24       24         22       23       24       24       24       25       25       25       25       25       25       25								
(Schedule G)       9       10         10       Exploited exempt activity income (Schedule I)       10       10         11       Advertising income (Schedule J)       11       11         12       11       12       11         13       Total. Combine lines 3 through 12       13       4,081.       4,081.         Part II       Deductions Not Taken Elsewhere (see instructions for limitations on deductions) (except for contributions, deductors must be directly connected with the unrelated business income)       14       15         14       Compensation of officers, directors, and trustees (Schedule K)       14       16         15       Salaries and wages       16       17         16       Repairs and maintenance       17       18         17       Taxes and licenses       19       20       0.         10       Charitable contributions (see instructions for limitation rules)       STATEMENT 5       SEE       STATEMENT 3       20       0.         19       Taxes and licenses       12       22       22       22       22       24       24       24       25       24       25       24       25       25       25       25       25       25       25       25       25       25 <t< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></t<>								
10       Exploited exempt activity income (Schedule 1)       10       11				9				
11       Advertising income (Schedule J)       11       12         12       Other income (see instructions; attach statement)       13       4,081.         13       Total. Combine lines 3 through 12.       13       4,081.         Part II       Deductions Not Taken Elsewhere (see instructions for limitations on deductions) (except for contributions, deductions must be directly connected with the unrelated business income)       14       4,081.         14       Compensation of officers, directors, and trustees (Schedule K)       14       15         15       Salaries and wages       16       16         17       Bad debts       17       18         18       19       20       0.         20       Charitable contributions (see instructions for limitation rules)       STATEMENT       5         21       22       22       22       0.         22       Less depreciation claimed on Schedule A and elsewhere on return       23       24       24         22       Epeletion       26       27       23       24       22       23,954.         23       Other deductions (attach statement)       SEE STATEMENT       5       32       -19,873.         24       26       27       23       24       26       27 </td <td>( , ,</td> <td></td> <td></td> <td>10</td> <td></td> <td></td> <td></td> <td></td>	( , ,			10				
12       Other income (see instructions; attach statement)       12       13       4,081.         13       Total. Combine lines 3 through 12       13       4,081.       4,081.         Part III       Deductions Not Taken Elsewhere (see instructions for limitations on deductions) (except for contributions, deductions must be directly connected with the unrelated business income)       14       14         14       Compensation of officers, directors, and trustees (Schedule K)       14       15         16       Repairs and maintenance       16       17         17       18       debts       18         19       Taxes and licenses       19       20         20       O.       0.       0.       0.         21       Less depreciation (attach Form 4562)       21       22         22       22       22       22       22         23       Deptetion       23       24       24         24       Ess depreciation claimed on Schedule A and elsewhere on return       26       27       20       0.         24       Ess depreciation gatas       26       27       20       27       20       27         28       Depletion       26       27       28       23,954.       29       2	11 Advertising income	(Schedul	e J)	11				
13       Total. Combine lines 3 through 12				12				
(except for contributions, deductions must be directly connected with the unrelated business income)         14       Compensation of officers, directors, and trustees (Schedule K)       14         15       Salaries and wages       15         16       Repairs and maintenance       16         17       Bad debts       17         18       Interest (attach statement)       18         19       Taxes and licenses       19         20       Charitable contributions (see instructions for limitation rules)       STATEMENT 5       SEE       STATEMENT 3       20       0         21       Depreciation (attach Form 4562)       21       22       22       22       22       22       22       22       23       24       24       24       25       26       26       27       26       27       26       27       27       26       27       27       22       23       954.       29       23       954.       29       23       954.       29       23       954.       30       -19       873.       30       -19       873.       31       0       -19       873.       33       1, 000.       33       1, 000.       33       1, 000.       33       1, 000. <td>13 Total. Combine line</td> <td>es 3 throu</td> <td>ıgh 12</td> <td></td> <td></td> <td></td> <td></td> <td>4,081.</td>	13 Total. Combine line	es 3 throu	ıgh 12					4,081.
14       Compensation of officers, directors, and trustees (Schedule K)       14         15       Salaries and wages       15         16       16       16         17       Bad debts       17         18       Interest (attach statement)       18         19       Taxes and licenses       19         20       Charitable contributions (see instructions for limitation rules)       STATEMENT 5       SEE       STATEMENT 3         20       Depreciation (attach Form 4562)       21       20       0.         21       Less depreciation claimed on Schedule A and elsewhere on return       22a       22b         23       Depletion       23       24         24       Contributions to deferred compensation plans       26       27         26       Excess exempt expenses (Schedule I)       27       28         27       Excess readership costs (Schedule J)       27       28       23, 954.         28       Diver deductions, Add lines 14 through 28       29       23, 954.       30       -19, 873.         28       Unrelated business taxable income before net operating loss deduction. Subtract line 29 from line 13       30       -19, 873.       33       1, 000.         38       Specific deductor (generally \$1,0			Υ.		,			
15Salaries and wages1516Repairs and maintenance1617Bad debts1718Interest (attach statement)1819Taxes and licenses1920Charitable contributions (see instructions for limitation rules)STATEMENT 5SEE STATEMENT 3200.2122b2122a22b23Depletion2324242525252526252527262728Other deductions, (attach statement)SEE STATEMENT 42923, 954.292923, 954.200.19, 873.31Net operating loss deduction. Subtract line 31 from line 303233Specific deduction (generally \$1,000, but see instructions for exceptions)3334Unrelated business taxable income before specific deduction. Subtract line 31 from line 32.31, 000.			· · ·			,	_	
16       Repairs and maintenance       16         17       Bad debts       17         18       Interest (attach statement)       18         19       Taxes and licenses       19         20       Charitable contributions (see instructions for limitation rules)       STATEMENT 5       SEE       STATEMENT 3       20       0.         21       Depreciation (attach Form 4562)       21       22       22       22       22         22       Less depreciation claimed on Schedule A and elsewhere on return       23       22       23       20       0.         24       Contributions to deferred compensation plans       24       24       25       26       27       26       27       28       21       26       23,954.       29       23,954.       29       23,954.       29       23,954.       29       23,954.       30       -19,873.       30       -19,873.       31       Net operating loss deduction (limited to the amount on line 30)       SEE       STATEMENT 6       31       30       -19,873.         31       Net operating loss deduction (limited to the amount on line 30)       SEE       STATEMENT 6       31       31       1,000.       33       1,000.       33       1,000.       33 <t< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></t<>								
17       Bad debts       17         18       Interest (attach statement)       18         19       Taxes and licenses       19         20       Charitable contributions (see instructions for limitation rules)       STATEMENT 5       SEE       STATEMENT 3       20       0         21       Depreciation (attach Form 4562)       21       22a       22b       22b         22       Less depreciation claimed on Schedule A and elsewhere on return       22a       22b       22b         23       Depletion       23       24       24         25       Employee benefit programs       26       27         26       Excess readership costs (Schedule I)       27       28       23,954.         29       Cost deductions, Add lines 14 through 28       29       23,954.         20       Unrelated business taxable income before net operating loss deduction. Subtract line 29 from line 13       30       -19,873.         31       Net operating loss deduction (limited to the amount on line 30)       SEE       STATEMENT 6       31         32       -19,873.       33       1,000.       33       1,000.         33       Specific deduction (generally \$1,000, but see instructions for exceptions)       33       1,000. <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>-</td> <td></td>							-	
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19       Taxes and licenses       19         20       Charitable contributions (see instructions for limitation rules)       STATEMENT 5       SEE       STATEMENT 3         21       Depreciation (attach Form 4562)       21       22       22       22         22       Less depreciation claimed on Schedule A and elsewhere on return       23       23         23       Depletion       23       24         24       Contributions to deferred compensation plans       24       26         25       Employee benefit programs       26       27         26       Excess readership costs (Schedule I)       27       28       23,954.         29       Cal, 954.       29       23,954.       29       23,954.         29       Cal, 954.       29       23,954.       29       23,954.         29       Cal, 954.       29       23,954.       29       23,954.         29       Cal, 954.       29       23,954.       30       -19,873.         30       Unrelated business taxable income before net operating loss deduction. Subtract line 29 from line 13       30       -19,873.         31       Net operating loss deduction (limited to the amount on line 30)       SEE       STATEMENT 6       31							-	
20       Charitable contributions (see instructions for limitation rules)       STATEMENT 5       SEE       STATEMENT 3       20       0.         21       22a       22b       22b       22b       23         22       Less depreciation claimed on Schedule A and elsewhere on return       23       23         24       Contributions to deferred compensation plans       24       24         25       Employee benefit programs       25       26         26       Excess exempt expenses (Schedule I)       27       27         28       Other deductions (attach statement)       SEE       STATEMENT 4       28       23,954.         29       Total deductions. Add lines 14 through 28       29       23,954.       29       23,954.         30       -19,873.       30       -19,873.       33       1,000.         31       Net operating loss deduction (limited to the amount on line 30)       SEE       STATEMENT 6       31         32       -19,873.       33       1,000.       33       1,000.         34       -19,873.       34       -19,873.       -19,873.							-	
21       Depreciation (attach Form 4562)       21         22       Less depreciation claimed on Schedule A and elsewhere on return       22a       22b         23       Depletion       23         24       Contributions to deferred compensation plans       24         25       Employee benefit programs       25         26       Excess exempt expenses (Schedule I)       26         27       28       Other deductions (attach statement)       27         28       Other deductions, attach statement)       28       23,954.         29       23,954.       29       23,954.         29       23,954.       29       23,954.         20       Unrelated business taxable income before net operating loss deduction. Subtract line 29 from line 13       30       -19,873.         31       Net operating loss deduction (limited to the amount on line 30)       SEE       STATEMENT 6       31         32       -19,873.       33       1,000.       33       1,000.         34       -19,873.       33       1,000.       33       -19,873.	20 Charitable contribu	, itions (se	e instructions for limitation rules) <b>STATEME</b>	NT	5 SEE STAT	EMENT 3	_	0.
22       Less depreciation claimed on Schedule A and elsewhere on return       22a       22b         23       Depletion       23         24       24         25       Employee benefit programs       26         26       Excess exempt expenses (Schedule I)       26         27       28       23,954.         28       Depletion (limited to the amount on line 30)       SEE         31       Net operating loss deduction (limited to the amount on line 30)       SEE         32       -19,873.         33       1,000.         34       -19,873.								
23Depletion23242425Employee benefit programs252626272728Contributions (attach statement)2728Other deductions (attach statement)SEE STATEMENT 42823,954.2923,954.2923,954.2923,954.30Unrelated business taxable income before net operating loss deduction. Subtract line 29 from line 1330-19,873.31Net operating loss deduction (limited to the amount on line 30)SEE STATEMENT 63132Unrelated business taxable income before specific deduction. Subtract line 31 from line 3032-19,873.33Specific deduction (generally \$1,000, but see instructions for exceptions)331,000.34-19,873.34-19,873.	22 Less depreciation	claimed o	n Schedule A and elsewhere on return		22a		22b	
24Contributions to deferred compensation plans2425Employee benefit programs25262627Excess readership costs (Schedule I)2728Other deductions (attach statement)SEE STATEMENT 42923,954.2923,954.2923,954.30-19,873.31Net operating loss deduction (limited to the amount on line 30)SEE STATEMENT 6313132-19,873.33Specific deduction (generally \$1,000, but see instructions for exceptions)3334-19,873.34-19,873.							23	
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27Excess readership costs (Schedule J)2728Other deductions (attach statement)SEE STATEMENT 42823,954.29Total deductions. Add lines 14 through 282923,954.30Unrelated business taxable income before net operating loss deduction. Subtract line 29 from line 1330-19,873.31Net operating loss deduction (limited to the amount on line 30)SEE STATEMENT 63132Unrelated business taxable income before specific deduction. Subtract line 31 from line 3032-19,873.33Specific deduction (generally \$1,000, but see instructions for exceptions)331,000.34Unrelated business taxable income. Subtract line 33 from line 32. If line 33 is greater than line 32, enter the smaller34-19,873.	25 Employee benefit p	orograms					25	
28Other deductions (attach statement)SEE STATEMENT 42823,954.29Total deductions. Add lines 14 through 282923,954.30Unrelated business taxable income before net operating loss deduction. Subtract line 29 from line 1330-19,873.31Net operating loss deduction (limited to the amount on line 30)SEE STATEMENT 63132Unrelated business taxable income before specific deduction. Subtract line 31 from line 3032-19,873.33Specific deduction (generally \$1,000, but see instructions for exceptions)331,000.34Unrelated business taxable income. Subtract line 33 from line 32. If line 33 is greater than line 32, enter the smaller34-19,873.	26 Excess exempt exp	oenses (S	chedule I)					
29Total deductions. Add lines 14 through 282923,954.30Unrelated business taxable income before net operating loss deduction. Subtract line 29 from line 1330-19,873.31Net operating loss deduction (limited to the amount on line 30)SEESTATEMENT 63132Unrelated business taxable income before specific deduction. Subtract line 31 from line 3032-19,873.33Specific deduction (generally \$1,000, but see instructions for exceptions)331,000.34Unrelated business taxable income. Subtract line 33 from line 32. If line 33 is greater than line 32, enter the smaller34-19,873.	27 Excess readership	costs (Sc	hedule J)					00.054
30       Unrelated business taxable income before net operating loss deduction. Subtract line 29 from line 13       30       -19,873.         31       Net operating loss deduction (limited to the amount on line 30)       SEE       STATEMENT 6       31         32       Unrelated business taxable income before specific deduction. Subtract line 31 from line 30       32       -19,873.         33       Specific deduction (generally \$1,000, but see instructions for exceptions)       33       1,000.         34       -19,873.								
31       Net operating loss deduction (limited to the amount on line 30)       SEE       STATEMENT       6         32       Unrelated business taxable income before specific deduction. Subtract line 31 from line 30       32       -19,873.         33       Specific deduction (generally \$1,000, but see instructions for exceptions)       33       1,000.         34       Unrelated business taxable income. Subtract line 33 from line 32. If line 33 is greater than line 32, enter the smaller       34       -19,873.					turne line do			
<ul> <li>Unrelated business taxable income before specific deduction. Subtract line 31 from line 30</li> <li>Specific deduction (generally \$1,000, but see instructions for exceptions)</li> <li>Unrelated business taxable income. Subtract line 33 from line 32. If line 33 is greater than line 32, enter the smaller of zero or line 32</li> <li>-19,873.</li> </ul>							_	-19,8/3.
<ul> <li>33 Specific deduction (generally \$1,000, but see instructions for exceptions)</li> <li>33 1,000.</li> <li>33 1,000.</li> <li>34 -19,873.</li> </ul>								_10 972
34       Unrelated business taxable income. Subtract line 33 from line 32. If line 33 is greater than line 32, enter the smaller         34       -19,873.								
of zero or line 32 3419,873.							33	±,000•
				-			34	-19,873.

Form 990-T	(2012)	FOUNDATION,	INC.					23-711	6528		Page <b>2</b>
Part I	1 7	Tax Computation									
35	Orgai	nizations taxable as corporati	i <b>ons</b> (see ins	tructions for tax co	mputa	ition).					
	Contr	olled group members (section	is 1561 and	1563) check here		See instruction	s and:				
a	Enter	your share of the \$50,000, \$2	5,000, and §	9,925,000 taxable	incom	e brackets (in that o	order):				
	(1)	\$	(2) \$			(3) \$	· ·				
b	Enter	organization's share of: (1) A	dditional 5%								
		dditional 3% tax (not more tha									
C		ne tax on the amount on line 3	►	35c		0.					
36	Trust	s taxable at trust rates (see in	structions fo	or tax computation).	. Inco	me tax on the amou	int on line 34 from:				
		Tax rate schedule or	Schedule D	(Form 1041)				►	36		
37	Proxy	tax (see instructions)							37		
38	Altern	ative minimum tax							38		
39	Total.	Add lines 37 and 38 to line 38	5c or 36, wh	ichever applies					39		0.
Part I	/ 1	Fax and Payments									
40 a	Foreiç	on tax credit (corporations atta	ich Form 11	18; trusts attach Foi	rm 11	16)	40a				
b	Other	credits (see instructions)					40b				
C	Gener	al business credit. Attach Forr	m 3800				40c				
		t for prior year minimum tax (a									
e	Total	credits. Add lines 40a through	h 40d						40e		
41	Subtr	act line 40e from line 39							41		0.
42	Other	taxes. Check if from: E	rm 4255 🗋	Form 8611 L	_ For	m 8697 🛄 Forn	n 8866 🛄 Othei	(attach statement)	42		-
43	Total	tax. Add lines 41 and 42							43		0.
44 a	Paym	ents: A 2011 overpayment cro	edited to 20	12			44a				
		estimated tax payments									
C	Tax d	eposited with Form 8868					44c				
		gn organizations: Tax paid or v									
		up withholding (see instruction									
		t for small employer health ins					44f				
g		credits and payments:		Form 2439							
		Form 4136		Other		Total	► 44g				
45	Total	payments. Add lines 44a thro	ugh 44g			<u></u>			45		
		ated tax penalty (see instruction							46		
47		ue. If line 45 is less than the to							47		0.
48		bayment. If line 45 is larger that							48		0.
		the amount of line 48 you war Statements Regardir						efunded	49		
Part V									aunt (hank	Vee	No
	-	e during the 2012 calendar yea or other) in a foreign country?		-		-				Yes	No
		, .		• •				•		x	
2 Durir	ig the t	If "Yes," enter the name of the ax year, did the organization receive instructions for other forms the org	a distribution	from, or was it the grai	ntor of,	or transferor to, a forei	gn trust?			_ <u>^</u>	x
		Instructions for other forms the org Amount of tax-exempt interest									
		A - Cost of Goods Se		U U			I/A				
-		at beginning of year		Thethod of inverti	<u> </u>	Inventory at end o			6		
	hases		2			Cost of goods sol					
		) 00r	3		ł Ś	-	here and in Part I, I	ine 2	7		
		ection 263A costs (att. statement)	4a		8	Do the rules of sec			, ,	Yes	No
		s (attach statement)	4b		ľ		d or acquired for re	•		100	
		d lines 1 through 4b	5		1	the organization?	•				
	Un	der penalties of perjury. I declare th	at I have exam	nined this return, includ	ing acc	companying schedules	and statements, and to	o the best of my know		lief, it is true,	
Sign	CO	rrect, and complete. Declaration of p	preparer (other	than taxpayer) is base	d on al	l information of which p	preparer has any knowl		w the IPS die	cuss this return	with
Here						EXECU	TIVE DIR		-	wn below (see	
		Signature of officer		Date		Title			structions)?		No
		Print/Type preparer's name		Preparer's sigr	nature		Date	Check if			
Paid								self- employed			
Prepa	rer	LEIGH MCKEE							P00	169845	5
Use C		Firm's name <b>DEAN</b>						Firm's EIN 🕨	27-	385825	52
		106	W. V.	INE STREE	Т,	SUITE 60	0				
		Firm's address 🕨 LEX	INGTO	N, KY 405	07			Phone no.	(859)	255-23	341

Page 3

 Form 990-T (2012)
 FOUNDATION, INC.
 23-7116528
 Pa

 Schedule C - Rent Income (From Real Property and Personal Property Leased With Real Property)(see instructions)

1. Description of property

(1)										
(2)										
(3)										
(4)	-									
	2.							3(a) Deductions dire	ctlv cor	nnected with the income in
(a) From personal property (if the rent for personal property is 10% but not more than 1	s more than	age of I	( <b>b</b> ) <sup>F</sup>	of rent for pe	nd personal proper ersonal property ex is based on profit	ceeds 50%	entage or if	columns 2(a)	) and 2(I	b) (attach statement)
(1)										
(2)										
(3)										
(4)										
Total		0.	Total				0.			
(c) Total income. Add totals of columner and on page 1, Part I, line 6, co							0.	(b) Total deductions Enter here and on page 1 Part I, line 6, column (B)	', ►	0.
Schedule E - Unrelated	Debt-F	inanced	Incom	<b>ie</b> (see i	nstructions)					
					0	_		3. Deductions directly of to debt-fine		
1					<ol> <li>Gross inc or allocable</li> </ol>	e to debt-	(a)	Straight line depreciation		(b) Other deductions
1. Description of d	ebt-finance	ed property			financed	property	(-)	(attach statement)		(attach statement)
(1)										
(2)										
(3)										
(4)										
<ol> <li>Amount of average acquisition debt on or allocable to debt-financed property (attach statement)</li> </ol>		debt-fina	adjusted ba llocable to nced proper statement)	ty	6. Column by colu			7. Gross income reportable (column 2 x column 6)		8. Allocable deductions (column 6 x total of columns 3(a) and 3(b))
(1)						%	/			
(1)						9				
(2)						9				
(3)										
(4)						/				
Totals								nter here and on page 1, art I, line 7, column (A).	0.	Enter here and on page 1, Part I, line 7, column (B).
Total dividends-received deductio	ns include	ed in column	8							0.
Schedule F - Interest, A	nnuitie	s, Royal	ties, ar	nd Ren	ts From C	ontrolle	d Orga	nizations (see in	struc	tions)
,		, <b>,</b>			t Controlled O			(		
1. Name of controlled organization	n	<b>2.</b> Employer ide numb	ntification	Net un	<b>3.</b> related income see instructions)	Total	<b>4.</b> of specified ents made	5. Part of column 4 included in the cont organization's gross	rolling	connected with income
(1)										
(2)										
(3)										
(4)										
Nonexempt Controlled Organiza	ations									
7. Taxable Income		nrelated incom ee instructions		<b>9</b> . Tot	al of specified pay made	ments	in the con	column 9 that is included trolling organization's ross income	11.	Deductions directly connected with income in column 10
(1)										
(2)										
(3)										
(4)										
				1			Enter here	olumns 5 and 10. and on page 1, Part I, 8, column (A).	Ent	Add columns 6 and 11. ter here and on page 1, Part I, line 8, column (B).
T.4.1.								,		
Totals						🏲 📔		0.		0.

23-7116528

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# Schedule G - Investment Income of a Section 501(c)(7), (9), or (17) Organization (see instructions)

1. Description of income	2. Amount of income	<b>3.</b> Deductions directly connected (attach statement)	<b>4.</b> Set-asides (attach statement)	5. Total deductions and set-asides (col. 3 plus col. 4)
(1)				
(2)				
(3)				
(4)				
	Enter here and on page 1, Part I, line 9, column (A).			Enter here and on page 1, Part I, line 9, column (B).
Totals	0.			0.

### Schedule I - Exploited Exempt Activity Income, Other Than Advertising Income

(see instructions)

1. Description of exploited activity	2. Gross unrelated business income from trade or business	3. Expenses directly connected with production of unrelated business income	4. Net income (loss) from unrelated trade or business (column 2 minus column 3). If a gain, compute cols. 5 through 7.	5. Gross income from activity that is not unrelated business income	<b>6.</b> Expenses attributable to column 5	7. Excess exempt expenses (column 6 minus column 5, but not more than column 4).
(1)						
(2)						
(3)						
(4)						
	Enter here and on page 1, Part I, line 10, col. (A).	Enter here and on page 1, Part I, line 10, col. (B).				Enter here and on page 1, Part II, line 26.
Totals ►	0.	Ο.				0.
Schedule J - Advertisi	na Income (see	instructions)				

### Part I Income From Periodicals Reported on a Consolidated Basis

						-
1. Name of periodical	<b>2.</b> Gross advertising income	<b>3.</b> Direct advertising costs	<b>4.</b> Advertising gain or (loss) (col. 2 minus col. 3). If a gain, compute cols. 5 through 7.	5. Circulation income	6. Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4).
(1)						
(2)						
(3)						
(4)						
Totals (carry to Part II, line (5)) ►	0.	0.				0.

Part II Income From Periodicals Reported on a Separate Basis (For each periodical listed in Part II, fill in

columns 2 through 7 on a line-by-line basis.)

1. Name of periodical	<b>2.</b> Gross advertising income	3. Direct advertising costs	<b>4.</b> Advertising gain or (loss) (col. 2 minus col. 3). If a gain, compute cols. 5 through 7.		rculation come	6.1	Readership costs	7. Excess readersh costs (column 6 min column 5, but not mo than column 4).	us
(1)									
(2)									
(3)									
(4)									
Totals from Part I	0.	0.							0.
	Enter here and on page 1, Part I, line 11, col. (A).	Enter here and on page 1, Part I, line 11, col. (B).						Enter here and on page 1, Part II, line 27.	
Totals, Part II (lines 1-5) 🕨	0.	0.							0.
Schedule K - Compensatio	n of Officers,	Directors, an	d Trustees (see ir	nstructio	ns)			•	
1. Name			2. Title		<ol> <li>Percertime devot busines</li> </ol>	ed to		pensation attributable related business	
(1)						%			
(2)						%			
(3)						%			
(4)						%			
Total Enter here and on page 1 Part II	ine 14	•							0.

### **Alternative Minimum Tax - Corporations**

Attach to the corporation's tax return.

OMB No. 1545-0175

Attach to the corporation's tax return.
Information about Form 4626 and its separate instructions is at www.irs.gov/form4626.

Name	NORTHERN KENTUCKY UNIVERSITY				Employer identification number
	FOUNDATION, INC.				23-7116528
	Note: See the instructions to find out if the corporation is a small corporation exempt				
	from the alternative minimum tax (AMT) under section 55(e).				
1	Taxable income or (loss) before net operating loss deduction			. 1	-19,873.
2	Adjustments and preferences:				
a	Depreciation of post-1986 property			. 2a	
	Amortization of certified pollution control facilities				
C	Amortization of mining exploration and development costs			20	
	Amortization of circulation expenditures (personal holding companies only)				
е	Adjusted gain or loss			. 2e	
f	Long-term contracts			. 2f	
g	Merchant marine capital construction funds			. 2g	
h	Section 833(b) deduction (Blue Cross, Blue Shield, and similar type organizations only)			. 2h	
i	Tax shelter farm activities (personal service corporations only)			. 2i	
j	Passive activities (closely held corporations and personal service corporations only)			2j	
k	Loss limitations			. 2k	
1	Depletion			. 21	
m	Tax-exempt interest income from specified private activity bonds			. 2m	1
n	Intangible drilling costs			. 2n	
0	Other adjustments and preferences		<b>ب</b>	20	
3	Pre-adjustment alternative minimum taxable income (AMTI). Combine lines 1 through 20			. 3	-19,873.
4	Adjusted current earnings (ACE) adjustment:				
a	ACE from line 10 of the ACE worksheet in the instructions	4a	-19,873	•	
b	Subtract line 3 from line 4a. If line 3 exceeds line 4a, enter the difference as a				
	negative amount (see instructions)	4b	(	•	
C	Multiply line 4b by 75% (.75). Enter the result as a positive amount	4c			
d	Enter the excess, if any, of the corporation's total increases in AMTI from prior				
	year ACE adjustments over its total reductions in AMTI from prior year ACE				
	adjustments (see instructions). Note: You must enter an amount on line 4d				
	(even if line 4b is positive)	4d		_	
е	ACE adjustment.	~			
	<ul> <li>If line 4b is zero or more, enter the amount from line 4c</li> </ul>				
	• If line 4b is less than zero, enter the <b>smaller</b> of line 4c or line 4d as a negative amount	ſ		. 4e	
5	Combine lines 3 and 4e. If zero or less, stop here; the corporation does not owe any AMT		^	. 5	-19,873.
6	Alternative tax net operating loss deduction (see instructions)		ATEMENT 9	. 6	
7	Alternative minimum taxable income. Subtract line 6 from line 5. If the corporation held a				
•	interest in a REMIC, see instructions			. 7	
8	Exemption phase-out (if line 7 is \$310,000 or more, skip lines 8a and 8b and enter -0- on I	ine 8c):			
а	Subtract \$150,000 from line 7 (if completing this line for a member of a controlled	ا م			
	group, see instructions). If zero or less, enter -0-	8a		_	
	Multiply line 8a by 25% (.25)	8b		_	
C	Exemption. Subtract line 8b from \$40,000 (if completing this line for a member of a control				
0	group, see instructions). If zero or less, enter -0-				+
9 10	Subtract line 8c from line 7. If zero or less, enter -0-				
10	Multiply line 9 by 20% (.20)				
11 12	Alternative minimum tax foreign tax credit (AMTFTC) (see instructions)				
12	Tentative minimum tax. Subtract line 11 from line 10				
13 14	Regular tax liability before applying all credits except the foreign tax credit			. 13	+
14				14	
	Form 1120, Schedule J, line 3, or the appropriate line of the corporation's income tax return			. 14	

JWA For Paperwork Reduction Act Notice, see separate instructions.

Form 4626 (2012)

\* SEE ALSO STATEMENT 7 STATEMENT 8

NORTHERN	KENTUCKY	UNIVERSITY	FOUNDATION
TIOTCT TTTTTTT	TUTUTOOTUT		T 0 0 1 0 1 1 T 0 1 0

## Adjusted Current Earnings (ACE) Worksheet

See ACE Worksheet Instructions.

2       ACC depreciation adjustment:         a AMT depreciation       2a         (1)       Post-1993 property       2b(1)         (2)       Post-1993 property       2b(2)         (3)       Pre-1990 MACRS property       2b(3)         (4)       Pre-1990 original ACRS property       2b(3)         (5)       Property described in sections       2b(5)         (6)       Other property       2b(6)       2b(7)         (7)       Total ACC depreciation Add lines 2b(1) through 2b(6)       2b(7)       2c         3       Inclusion in ACE of tems included in earnings and profits (E&P):       a       a         3       Inclusion in ACE of tems included in earnings and profits (E&P):       a       a         4       Inside buildup of undistributed income in life insurance contracts       ad       ad         d       Inside buildup of undistributed income in life insurance contracts       ad       ad         4       Disallowance of items not deductible from E&P:       ac       at         a Certain dividends reactived       4a       4a       4a         b Dividends paid on certain preferred stock of public utilities that are deductible under section 1382(c).       at       at         c Dividends paid on certain prefered stock of public utilities that are deduc	1 Pre-adjustment AMTI. Enter the amount from lir	ne 3 of Form 4626		1	-19,873.
b ACE depreciation:       2b (1)         (1) Post-1993 property       2b (2)         (2) Post-1993 property       2b (3)         (3) Pre-1990 MACRS property       2b (3)         (4) Pre-1990 original ACRS property       2b (3)         (5) Property described in sections       2b (5)         (6) Other property       2b (5)         (7) Total ACE depreciation. Add lines 2b (1) through 2b (6)       2b (7)         c ACE depreciation adjustment. Subtract line 2b (7) from line 2a       2a         3       Inclusion in ACE of items included in earnings and profits (E&P):       3a         a Tax-exempt Interest income       3a         b Death benefits from life insurance contracts       3d         c All other distributions from life insurance contracts       3d         e Other items (see Regulations sections 1.56(p)-1(c)/(6)(ii) through (x)       3e       3f         4 Disallowance of items not deductible intom E&P:       4a       4a         b Dividends paid on certain preferred stock of public utilities that are deductible       4a       4a         b Dividends paid on certain preferred stock of public utilities that are deductible       4a       4a         b Dividends paid on a ESOP that are deductible under section 44d       4a       4a       4a         b Dividends paid on a retain preferred stock of public util	2 ACE depreciation adjustment:		1 1		
(1)       Post-1993 property       2b(1)         (2)       Post-1998, property       2b(2)         (3)       Pre-1990 MACRS property       2b(3)         (4)       Pre-1990 mACRS property       2b(4)         (5)       Property described in sections       2b(5)         (6)       Other property       2b(5)         (7)       Total ACE depreciation. Add lines 2b(1) through 2b(6)       2b(7)         (7)       Total ACE depreciation. Add stress 2b(7) from line 2a       2b(7)         a       Tax-exempt interest income       3a         b       Death benefits from life insurance contracts (including surrenders)       3c         d       Indive buildup of undistributed income in life insurance contracts       3d         e       Other interms (see Regulations sections 1.56(c)-1(c)(5(i)ii through (k))       3e       3d         f       Total increase to ACE from inclusion in ACE of items included in E&P. Add lines 3a through 3e       3d         f       Disallowance of items on tideductible under section 404(k)       4a       4a         b       Dividends paid on certain prefered stock of public utilities that are deductible       4d       4d         b       Dividends that are paid and deductible under section 404(k)       4e       4d       4d         b			2a		
(2)       Post-1980, pre-1994 property       2b(2)         (3)       Pre-1990 original ACRS property       2b(3)         (4)       Pre-1990 original ACRS property       2b(4)         (5)       Property described in sections       12b(5)         (6)       Other property       2b(6)       2b(7)         (7)       Total ACE depreciation. Add lines 2b(1) through 2b(6)       2b(7)       2c         (7)       Total ACE depreciation adjustment. Subtract line 2b(7) from line 2a       2c         3       Inclusion IACE of items included in earnings and profits (E&P):       3a       2c         a Tax-exempt interest income       3a       3b       3c       3d         b Death benefits from life insurance contracts       3d       3d       3d       3d         c All other distributions from life insurance contracts       3d       3d       3d       3d         e Other items (see Regulations sections 1.56(g)-1(c)/6(jii) through (X)       3e       3d       3d       3d         f Total increase to ACE from inclusion in ACE of items included in E&P. Add lines 3a through 3e       3d       3d       d         c Dividends paid to an ESOP that are deductible under section 404(k)       4a       4a       d       d         d Dividends paid to an ESOP that are deductible under section 13					
(2)       Post-1980, pre-1994 property       2b(2)         (3)       Pre-1990 original ACRS property       2b(3)         (4)       Pre-1990 original ACRS property       2b(4)         (5)       Property described in sections       12b(5)         (6)       Other property       2b(6)       2b(7)         (7)       Total ACE depreciation. Add lines 2b(1) through 2b(6)       2b(7)       2c         (7)       Total ACE depreciation adjustment. Subtract line 2b(7) from line 2a       2c         3       Inclusion IACE of items included in earnings and profits (E&P):       3a       2c         a Tax-exempt interest income       3a       3b       3c       3d         b Death benefits from life insurance contracts       3d       3d       3d       3d         c All other distributions from life insurance contracts       3d       3d       3d       3d         e Other items (see Regulations sections 1.56(g)-1(c)/6(jii) through (X)       3e       3d       3d       3d         f Total increase to ACE from inclusion in ACE of items included in E&P. Add lines 3a through 3e       3d       3d       d         c Dividends paid to an ESOP that are deductible under section 404(k)       4a       4a       d       d         d Dividends paid to an ESOP that are deductible under section 13	(1) Post-1993 property	2b(1)			
(4)       Pre-1990 original ACRS property       2b(4)         (5)       Property described in sections       2b(5)         (6)       Other property       2b(6)         (7)       Total ACE depreciation. Add lines 2b(1) through 2b(6)       2b(7)         (8)       Other property       2b(6)         (7)       Total ACE depreciation. Add lines 2b(1) through 2b(6)       2b(7)         (7)       Total ACE depreciation adjustment. Subtract line ACT/ from line 2a       2c         3       inclusion in ACE of items included in earnings and profits (E&P):       3a         a Tax-exempt Interest income       3a       3d         b Death benefits from life insurance contracts       3d       3d         e Other items (see Regulations sections 1.56(g)-1(c)(6)(iii) through (x)       3e       3f         1       Total increase to ACE from inclusion in ACE of items included in E&P. Add lines 3a through 3e       3f         4       Disallowance of items not deductible from E&P:       4a       4b         b Dividends paid to an ESOP that are edductible under section 1382(c)       4d       4d         e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list)       4d       4d         f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e       4f	(2) Post-1989, pre-1994 property	2b(2)			
(5)       Property described in sections 168(h(1) through (4)       2b(5)         (6)       Other property       2b(6)         (7)       Total ACE depreciation. Add lines 2b(1) through 2b(6)       2b(7)         (7)       Total ACE depreciation. Add lines 2b(1) through 2b(6)       2b(7)         (8)       Other property       2c         3       inclusion in ACE of items included in earnings and profits (E&P):       3a         1       Tax-exempt interest income       3a         b       Death benefits from life insurance contracts       3d         c       All other distributions from life insurance contracts       3d         e       Other items (see Regulations sections 1.56(g)-1(C)(6)(iii) through (x) for a partial list)       3e       3f         1       Total increase to ACE from inclusion in ACE of items included in E&P. Add lines 3a through 3e       3f         4       Disidiowance of items not deductible from E&P:       4a       4a         b       Dividends paid to an ESOP that are deductible under section 1382(c)       4d       4d       4d         e       Other adjustments based on rules for figuring E&P:       a       4d       4d         1       Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e       4f         5       <					
168(t)(1) through (4)       2b(5)         (b) Other property       2b(7)         (c) Other property       2b(7)         c ACE depreciation adjustment. Subtract line 2b(7) through (2b(6)       2b(7)         c ACE depreciation adjustment. Subtract line 2b(7) through (2b(6)       2b(7)         a Tax-exempt interest income       3a         b Death benefits from life insurance contracts       3b         c All other distributions from life insurance contracts       3d         o Other thems (see Regulations sections 1.56(g)-1(C)(6)(iii) through (ix)       3e         f total increase to ACE from inclusion in ACE of terms included in E&P: Add lines 3a through 3e       3f         a Certain dividends received       4a         b Dividends paid to an ESOP that are deductible under section 404(k)       4d         c Dividends paid to an ESOP that are deductible under section 1382(c)       4d         c Other thems (see Regulations sections 1.56(g)-1(d)(3)(r) and (ii) for a partial list)       4d         f total increase to ACE because of disallowance of lems not deductible under section 1382(c)       4d         d Nonpatronage dividends that are paid and deductible under section 1382(c)       4d         f Total increase to ACE because of disallowance of lems not deductible from E&P. Add lines 4a through 4e       4t         f Total increase to ACE because of disallowance of lems not deductible from E&P. Add lines 4	(4) Pre-1990 original ACRS property	2b(4)			
(6) Other property       2b(6)         (7) Total ACE depreciation. Add lines 2b(1) through 2b(6)       2b(7)         2       2         3       Inclusion in ACE of items included in earnings and profils (E&P):       2         a Tax-exempt interest income       3a         b Death benefits from life insurance contracts       3b         c All other distributions from life insurance contracts (including surrenders)       3c         d Inside buildup of undistributed income in life insurance contracts       3d         e Other items (see Regulations sections 1.56(g)-1(c)(6)(iii) through (x) for a partial list)       3e         f Total increase to ACE from inclusion in ACE of items included in E&P. Add lines 3a through 3e       3f         4       Disallowance of items not deductible under section 404(k)       4a         b Dividends paid on certain preferred stock of public utilities that are deductible under section 247       4b         e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list)       4d       4d         f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e       4f         b Dividends paid on certain preferred stock of public utilities that are deductible inder section 1332(c)       4d       4d         e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list)       5a       5c       5c </td <td></td> <td></td> <td></td> <td></td> <td></td>					
(7)       Total ACE depreciation. Add lines 2b(1) through 2b(6)       2b(7)         c ACE depreciation adjustment. Subtract line 2b(7) from line 2a       2c         3       Inclusion in ACE of items included in earnings and profits (E&P):       3a         1       Tax-exempt interest income       3a         b Death benefits from life insurance contracts       3b       3c         d Inside buildup of undistributed income in life insurance contracts.       3d       3d         of thems (see Regulations sections 1.56(g)-1(c)(6)(iii) through (x)       3e       3f         of total increase to ACE from inclusion in ACE of items included in E&P. Add lines 3a through 3e       3f         4       Disallowance of items not deductible from E&P:       4a         b Dividends paid on certain preferred stock of public utilities that are deductible under section 404(k)       4c         d Nonpatronage dividends that are paid and deductible under section 1382(c)       4d         e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list)       4e         f       Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e       4f         b Dividends paid to an ESOP that are deductible under section 1382(c)       4d       4e         f       Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e       4f <td>168(f)(1) through (4)</td> <td></td> <td></td> <td></td> <td></td>	168(f)(1) through (4)				
c ACE depreciation adjustment. Subtract line 2b(7) from line 2a 2c   3 Inclusion in ACE of items included in earnings and profits (E&P): a Tax-exempt interest income   a Tax-exempt interest income 3a   b Death benefits from life insurance contracts 3b   c All other distributions from life insurance contracts 3d   d Inside buildup of undistributed income in life insurance contracts 3d   e Other items (see Regulations sections 1.56(g)-1(c)(6)(iii) through (ix) 3e   f Total increase to ACE from inclusion in ACE of items included in E&P. Add lines 3a through 3e 3f   4 Disallowance of items not deductible from E&P: 4a   a Certain dividends received 4a   b Dividends paid to an ESOP that are deductible under section 404(k) 4c   d Nonpatronage dividends that are paid and deductible under section 1382(c) 4d   e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list) 4e   f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e 4f   5 Other adjustments based on rules for figuring E&P: 5a   a Intangible drilling costs 5a   b Circulation expenditures 5b   c Organizational expenditures 5c   d LIFO inventory adjustments 5d   b Total increase to ACE because of death pools 5e   f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e 4f   5 Other adjustments based on rules for figuring E&P: 5a   a Intangible					
3       Inclusion in ACE of items included in earnings and profits (E&P):       a	(7) Total ACE depreciation. Add lines 2b(1) t	hrough 2b(6)	2b(7)		
a Tax-exempt interest income       3a         b Death benefits from life insurance contracts       3b         c All other distributions from life insurance contracts (including surrenders)       3c         d Inside buildup of undistributed income in life insurance contracts       3d         e Other items (see Regulations sections 1.56(g)-1(c)(6)(iii) through (ix) for a partial list)       3e       3f         f Total increase to ACE from inclusion in ACE of items included in E&P. Add lines 3a through 3e       3f         4 Disallowance of items not deductible from E&P:       4a       4a         b Dividends paid to an estain preferred stock of public utilities that are deductible       4b       4c         b Dividends paid to an ESOP that are deductible under section 1382(c)       4d       4d         e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list)       4e       4f         f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e       4f         s Other adjustments based on rules for figuring E&P:       a linangible drilling costs       5a         b Circulation expenditures       5b       5c       5b         c Organizational expenditures       5d       5e       5f         f Total increase to Alce Lease of debut pools       5f       5f       5f         f Total increase to ACE b	c ACE depreciation adjustment. Subtract line 2b(7	) from line 2a			
b Death benefits from life insurance contracts 3b   c All other distributions from life insurance contracts (including surrenders) 3c   d Inside buildup of undistributed income in life insurance contracts 3d   e Other items (see Regulations sections 1.56(g)-1(c)(6)(iii) through (x) 3e   f Total increase to ACE from inclusion in ACE of items included in E&P. Add lines 3a through 3e 3f   4 Disallowance of items not deductible from E&P: 4a   a Certain dividends received 4a   b Dividends paid on certain preferred stock of public utilities that are deductible 4b   under section 247 4b   c Dividends paid to an ESOP that are deductible under section 1382(c) 4d   e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list) 4e   f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e 4f   f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e 4f   f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e 4f   f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e 4f   f Other adjustments based on rules for figuring E&P: 5a   a Intangible drilling costs 5a   b Diriculation expenditures 5b   c Organizational expenditures 5d   c ITO al other E&P adjustments 5d   f Total other E&P adjustments 5d   c Disallowance of loss on exchange of	3 Inclusion in ACE of items included in earnings a	nd profits (E&P):			
c All other distributions from life insurance contracts (including surrenders) 3c   d Inside buildup of undistributed income in life insurance contracts 3d   e Other items (see Regulations sections 1.56(g)-1(c)(6)(iii) through (ix) 3e   f Total increase to ACE from inclusion in ACE of items included in E&P. Add lines 3a through 3e 3f   4 Disallowance of items not deductible from E&P: 4a   a Certain dividends received 4a   b Dividends paid on certain preferred stock of public utilities that are deductible under section 247 4b   c Dividends paid to an ESOP that are deductible under section 404(k) 4c   d Nonpatronage dividends that are paid and deductible under section 1382(c) 4d   e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list) 4e   f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e 4f   5 Other adjustments based on rules for figuring E&P: a Intangible drilling costs   b Circulation expenditures 5a   c Organizational expenditures 5d   c Organizational expenses of life insurance companies for qualified foreign contracts 7					
d Inside buildup of undistributed income in life insurance contracts       3d         e Other items (see Regulations sections 1.56(g)-1(c)(6)(iii) through (ix)       3e         f Total increase to ACE from inclusion in ACE of items included in E&P. Add lines 3a through 3e       3f         4 Disallowance of items not deductible from E&P:       4a         a Certain dividends received       4a         b Dividends paid on certain preferred stock of public utilities that are deductible       4b         under section 247       4b         c Dividends paid to an ESOP that are deductible under section 404(k)       4c         d Nonpatronage dividends that are paid and deductible under section 1382(c)       4d         e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list)       4e         f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e       4f         5 Other adjustments based on rules for figuring E&P:       5a         a Intangible drilling costs       5a         b Circulation expenditures       5b         c Organizational expenditures       5c         c Item stales       5e         f Total increase of loss on exchange of debt pools       5f         6       7         Acquisition expenses of life insurance companies for qualified foreign contracts       7f    <					
e Other items (see Regulations sections 1.56(g)-1(c)(6)(iii) through (ix) for a partial list)       3e       3f         f Total increase to ACE from inclusion in ACE of items included in E&P. Add lines 3a through 3e       3f         4 Disallowance of items not deductible from E&P:       4a       4a         b Dividends paid on certain preferred stock of public utilities that are deductible under section 247       4b       4c         c Dividends paid to an ESOP that are deductible under section 1382(c)       4d       4d       4d         e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list)       4e       4d       4d         5 Other adjustments based on rules for figuring E&P:       a Intangible drilling costs       5a       5a         b Circulation expenditures       5d       5d       5d       5d         c Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e       4f       4f         5 Other adjustments based on rules for figuring E&P:       a       5d       5d       5d         a Intangible drilling costs       5a       5d       5d       5d       5d         f Total other E&P adjustments       5d					
for a partial list)       3e         f Total increase to ACE from inclusion in ACE of items included in E&P. Add lines 3a through 3e       3f         4 Disallowance of items not deductible from E&P:       4a         a Certain dividends received       4a         b Dividends paid on certain preferred stock of public utilities that are deductible       4b         under section 247       4b         c Dividends paid to an ESOP that are deductible under section 404(k)       4c         d Nonpatronage dividends that are paid and deductible under section 1382(c)       4d         e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list)       4e         f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e       4f         5 Other adjustments based on rules for figuring E&P:       5a         a Intangible drilling costs       5a         b Circulation expenditures       5b         c Organizational expenditures       5d         d LIFO inventory adjustments       5d         f Total other E&P adjustments. Combine lines 5a through 5e       5f         6       5       5f         7       5	<b>d</b> Inside buildup of undistributed income in life ins	surance contracts	3d		
f Total increase to ACE from inclusion in ACE of items included in E&P. Add lines 3a through 3e       3f         4 Disallowance of items not deductible from E&P:       4a         a Certain dividends received       4a         b Dividends paid on certain preferred stock of public utilities that are deductible under section 247       4b         c Dividends paid to an ESOP that are deductible under section 1382(c)       4d         e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list)       4d         f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e       4f         5 Other adjustments based on rules for figuring E&P:       a lintangible drilling costs       5a         b Circulation expenditures       5b       5c       5d         c Organizational expenditures       5d       5d       5d         f Total other E&P adjustments       5d       5d       5d         a Instagible drilling costs       5a       5d       5d         b Circulation expenditures       5d       5d       5d       5d         c Organizational expenditures       5d       5d       5d       5d       5d         f Total other E&P adjustments. Combine lines 5a through 5e       5d       5d       5d       5d       5d       5d       5d       5d	e Other items (see Regulations sections 1.56(g)-1	(c)(6)(iii) through (ix)			
4       Disallowance of items not deductible from E&P:         a Certain dividends received       4a         b Dividends paid on certain preferred stock of public utilities that are deductible       4b         under section 247       4b         c Dividends paid to an ESOP that are deductible under section 404(k)       4c         d Nonpatronage dividends that are paid and deductible under section 1382(c)       4d         e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list)       4e         f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e       4f         5       Other adjustments based on rules for figuring E&P:       5a         a Intragible drilling costs       5a       5b         b Circulation expenditures       5d       5d         c Organizational expenditures       5d       5d         f Total other E&P adjustments. Combine lines 5a through 5e       5f       5f         6       5g allowance of loss on exchange of debt pools       6         7       7       7					
a Certain dividends received       4a         b Dividends paid on certain preferred stock of public utilities that are deductible under section 247       4b         c Dividends paid to an ESOP that are deductible under section 404(k)       4c         d Nonpatronage dividends that are paid and deductible under section 1382(c)       4d         e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list)       4e         f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e       4f         5 Other adjustments based on rules for figuring E&P: a Intangible drilling costs       5a         b Circulation expenditures       5b         c Organizational expenditures       5d         f Total other E&P adjustments. Combine lines 5a through 5e       5f         6 Disallowance of loss on exchange of debt pools       6         7 Acquisition expenses of life insurance companies for qualified foreign contracts       7	f Total increase to ACE from inclusion in ACE of it	ems included in E&P. Add lines 3a t	hrough 3e		
b Dividends paid on certain preferred stock of public utilities that are deductible   under section 247   c Dividends paid to an ESOP that are deductible under section 404(k)   d Nonpatronage dividends that are paid and deductible under section   1382(c)   e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a   partial list)   f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e   4f   5 Other adjustments based on rules for figuring E&P:   a Intangible drilling costs   b Circulation expenditures   c Organizational expenditures   d LIFO inventory adjustments   e Installment sales   f Total other E&P adjustments. Combine lines 5a through 5e   6 Disallowance of loss on exchange of debt pools   6 Disallowance of life insurance companies for qualified foreign contracts	4 Disallowance of items not deductible from E&P:				
under section 247       4b         c Dividends paid to an ESOP that are deductible under section 404(k)       4c         d Nonpatronage dividends that are paid and deductible under section 1382(c)       4d         e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list)       4e         f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e       4f         5 Other adjustments based on rules for figuring E&P:       5a         a Intangible drilling costs       5a         b Circulation expenditures       5b         c Organizational expenditures       5c         d LIFO inventory adjustments. Combine lines 5a through 5e       5f         f Total other E&P adjustments. Combine lines 5a through 5e       5f         6 Disallowance of loss on exchange of debt pools       6         7 Acquisition expenses of life insurance companies for qualified foreign contracts       7	a Certain dividends received		4a		
c Dividends paid to an ESOP that are deductible under section 404(k)   d Nonpatronage dividends that are paid and deductible under section   1382(c)   e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a   partial list)   f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e   4d   5 Other adjustments based on rules for figuring E&P:   a Intangible drilling costs   b Circulation expenditures   c Organizational expenditures   d LIFO inventory adjustments   e Installment sales   f Total other E&P adjustments. Combine lines 5a through 5e   6 Disallowance of loss on exchange of debt pools   7 Acquisition expenses of life insurance companies for qualified foreign contracts	<b>b</b> Dividends paid on certain preferred stock of pub	lic utilities that are deductible			
d Nonpatronage dividends that are paid and deductible under section       4d         1382(c)       4d         e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list)       4e         f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e       4f         5 Other adjustments based on rules for figuring E&P:       4a         a Intangible drilling costs       5a         b Circulation expenditures       5b         c Organizational expenditures       5c         d LIFO inventory adjustments       5d         e Installment sales       5e         f Total other E&P adjustments. Combine lines 5a through 5e       5f         6 Disallowance of loss on exchange of debt pools       6         7 Acquisition expenses of life insurance companies for qualified foreign contracts       7	under section 247		4b		
1382(c)       4d         e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list)       4e         f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e       4f         5 Other adjustments based on rules for figuring E&P:       5a         a Intangible drilling costs       5a         b Circulation expenditures       5b         c Organizational expenditures       5c         d LIFO inventory adjustments       5d         e Installment sales       5e         f Total other E&P adjustments. Combine lines 5a through 5e       5f         6 Disallowance of loss on exchange of debt pools       6         7 Acquisition expenses of life insurance companies for qualified foreign contracts       7	<b>c</b> Dividends paid to an ESOP that are deductible u	nder section 404(k)	4c		
e Other items (see Regulations sections 1.56(g)-1(d)(3)(i) and (ii) for a partial list)   f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e   5 Other adjustments based on rules for figuring E&P:   a Intangible drilling costs   b Circulation expenditures   c Organizational expenditures   d LIFO inventory adjustments   e Installment sales   f Total other E&P adjustments. Combine lines 5a through 5e   6 Disallowance of loss on exchange of debt pools   7 Acquisition expenses of life insurance companies for qualified foreign contracts	d Nonpatronage dividends that are paid and dedu	ctible under section			
partial list) 4e   f Total increase to ACE because of disallowance of items not deductible from E&P. Add lines 4a through 4e 4f   5 Other adjustments based on rules for figuring E&P: a Intangible drilling costs   a Intangible drilling costs 5a   b Circulation expenditures 5b   c Organizational expenditures 5c   d LIFO inventory adjustments 5d   e Installment sales 5e   f Total other E&P adjustments. Combine lines 5a through 5e 6   6 Disallowance of loss on exchange of debt pools 6   7 Acquisition expenses of life insurance companies for qualified foreign contracts 7	1382(c)		4d		
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5       Other adjustments based on rules for figuring E&P:         a       Intangible drilling costs         b       Circulation expenditures         c       Organizational expenditures         d       LIFO inventory adjustments         e       Installment sales         f       Total other E&P adjustments. Combine lines 5a through 5e         6       Disallowance of loss on exchange of debt pools         7       Acquisition expenses of life insurance companies for qualified foreign contracts	partial list)		4e		
a Intangible drilling costs       5a         b Circulation expenditures       5b         c Organizational expenditures       5c         d LIFO inventory adjustments       5d         e Installment sales       5e         f Total other E&P adjustments. Combine lines 5a through 5e       5f         6 Disallowance of loss on exchange of debt pools       6         7 Acquisition expenses of life insurance companies for qualified foreign contracts       7	f Total increase to ACE because of disallowance of	f items not deductible from E&P. Ac	Id lines 4a through 4e	4f	
b Circulation expenditures       5b         c Organizational expenditures       5c         d LIFO inventory adjustments       5d         e Installment sales       5e         f Total other E&P adjustments. Combine lines 5a through 5e       5f         6 Disallowance of loss on exchange of debt pools       6         7 Acquisition expenses of life insurance companies for qualified foreign contracts       7	5 Other adjustments based on rules for figuring E	&P:			
c Organizational expenditures       5c         d LIFO inventory adjustments       5d         e Installment sales       5e         f Total other E&P adjustments. Combine lines 5a through 5e       5f         6 Disallowance of loss on exchange of debt pools       6         7 Acquisition expenses of life insurance companies for qualified foreign contracts       7	a Intangible drilling costs		5a		
d LIFO inventory adjustments       5d         e Installment sales       5e         f Total other E&P adjustments. Combine lines 5a through 5e       5f         6 Disallowance of loss on exchange of debt pools       6         7 Acquisition expenses of life insurance companies for qualified foreign contracts       7	<b>b</b> Circulation expenditures		5b		
e Installment sales       5e         f Total other E&P adjustments. Combine lines 5a through 5e       5f         6 Disallowance of loss on exchange of debt pools       6         7 Acquisition expenses of life insurance companies for qualified foreign contracts       7	c Organizational expenditures				
f Total other E&P adjustments. Combine lines 5a through 5e       5f         6 Disallowance of loss on exchange of debt pools       6         7 Acquisition expenses of life insurance companies for qualified foreign contracts       7	d LIFO inventory adjustments		5d		
6       6         7       Acquisition expenses of life insurance companies for qualified foreign contracts         7       7	e Installment sales				
6       6         7       Acquisition expenses of life insurance companies for qualified foreign contracts         7       7	f Total other E&P adjustments. Combine lines 5a	through 5e	·····		
	7 Acquisition expenses of life insurance companie				
	Deviation				
9 Basis adjustments in determining gain or loss from sale or exchange of pre-1994 property 9					
10 Adjusted current earnings. Combine lines 1, 2c, 3f, 4f, and 5f through 9. Enter the result here and on line 4a of					
Form 4626	Form 4626	·····	·····	10	-19,873.

87,960.

### FOOTNOTES

ELECTION TO CAPITALIZE AND AMORTIZE IDC:

THE FOUNDATION ELECTS UNDER IRC SEC 59(E)(4) TO CAPITALIZE AND AMORTIZE THE FOLLOWING INTANGIBLE DRILLING COSTS PAID OR INCURRED DURING THE TAX YEAR OVER 60 MONTHS.

TYPE OF EXPENDITURE: 59(E)(2) CAPITALIZED AMOUNT STATEMENT 1

FORM 990-T INCOM	E (LOSS) FROM PARTNERSHIPS	STATEMENT	2
DESCRIPTION		AMOUNT	
AETHER REAL ASSETS II, LP		2,12	20.
AETHER REAL ASSETS I, LP		24,75	
COMMONFUND CAPITAL NATURAL RE		-7,61	
COMMONFUND CAPITAL PRIVATE EQU		-6,59	
NORTHGATE PRIVATE EQUITY PART		-75	
NORTHGATE PRIVATE EQUITY PART	NERS III, LP	2,33	
NORTHGATE IV, LP		12,31	
NORTHGATE VENTURE PARTNERS II		-	45.
Q-BLK REAL ASSETS II (PARALLE		-1,40	
SIGULER GUFF DISTRESSED OPPOR			43.
METROPOLITAN REAL ESTATE PART	NERS III-A, LP	-13,94	
VIA ENERGY LP		-4,03	
KAYNE ANDERSON MEZZANINE PARTI	NERS, LP	-3,95	
TOTAL TO FORM 990-T, PAGE 1, 1	LINE 5	4,08	81.
FORM 990-T	CONTRIBUTIONS	STATEMENT	3
DESCRIPTION/KIND OF PROPERTY	METHOD USED TO DETERMINE FMV	AMOUNT	
CONTRIBUTIONS	N/A		76.
TOTAL TO FORM 990-T, PAGE 1, 3	LINE 20		76.
FORM 990-T	OTHER DEDUCTIONS	STATEMENT	4
DESCRIPTION		AMOUNT	
INVESTMENT MANAGEMENT FEE		23,95	54.

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FORM 990-T	CONTR	IBUTIONS	SUMMARY		STATEMENT	5
QUALIFIED	CONTRIBUTIONS SUBJECT	TO 100%	LIMIT			
FOR TAX FOR TAX FOR TAX FOR TAX	OF PRIOR YEARS UNUSED YEAR 2007 YEAR 2008 YEAR 2009 YEAR 2010 YEAR 2011	CONTRIBU	JTIONS 360			
TOTAL CAR	- RYOVER RENT YEAR 10% CONTRIBU	TIONS		360 76		
	TRIBUTIONS AVAILABLE NCOME LIMITATION AS AD	JUSTED		436 0		
EXCESS 10	& CONTRIBUTIONS 0% CONTRIBUTIONS ESS CONTRIBUTIONS			436 0 436		
ALLOWABLE	CONTRIBUTIONS DEDUCTION	NC				0
TOTAL CON	TRIBUTION DEDUCTION					0

### NORTHERN KENTUCKY UNIVERSITY FOUNDATION,

FORM 990-T	NET	OPERATING LOSS I	DEDUCTION	STATEMENT 6
TAX YEAR	LOSS SUSTAINED	LOSS PREVIOUSLY APPLIED	LOSS REMAINING	AVAILABLE THIS YEAR
06/30/11 06/30/12	13,227. 11,123.	0. 0.	13,227. 11,123.	13,227. 11,123.
NOL CARRYO	VER AVAILABLE THIS	YEAR	24,350.	24,350.

NORTHERN KENTUCKY UNIVERSITY FOUNDATION,

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RM 4626 AMT CONTRIBUTION LIMITATION	STATEMENT	7
) REGULAR TAXABLE INCOME BEFORE NOL, CHARITABLE CONTRIBUTIONS, AND DOMESTIC PRODUCTION ACTIVITIES DEDUCTION (DPAD) ) ADD: OTHER AMT ADJUSTMENT AND PREFERENCE ITEMS OTHER THAN ACE, CHARITABLE CONTRIBUTIONS AND DPAD	-19,8	373 0
) PREADJUSTMENT AMTI BEFORE ACE, CHARITABLE DEDUCTIONS, NOL AND DPAD	-19,8	373
<ul> <li>ACE WITHOUT CHARITABLE CONTRIBUTIONS (LINE 3 PLUS LINE 4).</li> <li>LINE 5 LESS LINE 3 (ENTER EXCESS AS A NEGATIVE AMOUNT)</li> <li>MULTIPLY LINE 6 BY 75%. ENTER RESULT AS A POSITIVE AMOUNT .</li> <li>ENTER EXCESS OF THE CORPORATION'S PRIOR YEAR NET INCREASES IN AMTI DUE TO ACE</li></ul>	-19,8	73
<ul> <li>0) AMTI WITHOUT CHARITABLE CONTRIBUTIONS, NOL AND DPAD (LINE 3 PLUS LINE 9)</li></ul>	-19,8	873 0
3) CONTRIBUTION DEDUCTION TO CALCULATE 90% AMTI LIMITATION FOR NOL (LESSER OF LINE 11 OR LINE 12)		0
4) AMTI FOR PURPOSES OF 90% NOL LIMITATION (LINE 10 LESS LINE 13)	-17,8	886
7) AMT NOL (LESSER OF LINE 15 OR LINE 16)		0
8) AMTI FOR CHARITABLE DEDUCTION LIMITATION (LINE 10 PLUS SPECIAL DEDUCTIONS LESS AMT NOL ON LINE 17) 9) 10% OF LINE 18		373 0
) AMT CHARITABLE DEDUCTION (LESSER OF LINE 12 OR LINE 19) 1) REGULAR CONTRIBUTION DEDUCTION		0 0
2) AMT CONTRIBUTION ADJUSTMENT (LINE 21 LESS LINE 20)		0

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FORM 4626 AMT CONTRIBUTIONS		STATEMENT	8
CARRYOVER OF PRIOR YEARS UNUSED CONTRIBUTIONS FOR TAX YEAR 2007 FOR TAX YEAR 2008 FOR TAX YEAR 2009 FOR TAX YEAR 2010 FOR TAX YEAR 2011	360		
TOTAL CARRYOVER CURRENT YEAR CONTRIBUTIONS			360 76
TOTAL CONTRIBUTIONS 10% OF TAXABLE INCOME AS ADJUSTED			436 0
EXCESS CONTRIBUTIONS			436
ALLOWABLE CONTRIBUTIONS			0

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FORM 4626	ALTERNATI	VE MINIMUM TAX NO	OL DEDUCTION	STATEMENT	9
TAX YEAR	LOSS SUSTAINED	LOSS PREVIOUSLY APPLIED	LOSS REMAINING		
06/30/11 06/30/12	13,227. 11,123.	0. 0.	13,227. 11,123.		
AMT NOL CAI	RRYOVER AVAILABLE T	HIS YEAR	24,350.		